

PUBLIC NOTICE

Annual Action Plan Substantial Amendments (2018-#4)

As an entitlement community, the City of Aurora, Illinois (“City”) receives funding from U.S. Department of Housing and Urban Development (HUD) through the Community Development Block Grant Program (CDBG), HOME (HOME) Investment Partnerships Program, and Emergency Solutions Grant (ESG) Program. In accordance with the City of Aurora’s Citizen Participation Plan and the requirements of HUD, a public hearing will be held at 5:00 p.m. on December 17, 2018, City Hall, Council Chambers, 2nd Floor, 44 E. Downer Place, Aurora, IL 60507 to provide an opportunity for comment on proposed *Substantial Amendments to the City of Aurora’s 2014, 2015, 2017, and 2018 Annual Action Plans*. The Substantial Amendments will result in the re/allocation of unexpended fund and adjustments needed to ensure the integrity of the City’s spending obligations and also further address the City’s effort to comply with HUD’s regulatory requirements in administering the City’s CDBG Program.

To further assist the City in increasing its CDBG spending timeliness ratio and to provide the below agencies with earlier access to funds to better meet their capital improvement and/or clientele needs, the City proposes to reprogram over \$419,542 from prior year projects that have either been cancelled or have unexpended funds as follows:

Proposed New or Amended CDBG Activity	Recommended Re/Allocation Amount	CDBG Program Year Funding Source	Original Funding Allocation	Project Reallocation Comments
2018 PADS Inc. DBA Hesel House HVAC Installation (New)	\$80,689.00	2014 Waubensee Community College	\$41,691.59	Project cancelled
		2018 National Latino Education Institute Community Employment Services	\$20,000.00	Project cancelled
		2018 Economic Development Project To be determined (TBD)	\$150,000.00	Unexpended funds
2018 Seize the Future DBA Invest Aurora Micro-Enterprise Program	\$50,000.00	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2018 City of Aurora Engineering Division – Street Resurfacing Program	\$168,853.17	2018 Public Facilities Project TBD	\$181,570.85	Unexpended funds
2018 City of Aurora Public Works Lead Hazard Reduction Program (New)	\$50,000.00	2018 Public Facilities Project TBD	\$181,570.85	Unexpended funds
		2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2018 Choose Aurora Down Payment Assistance	\$20,000.00	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2017 Fox Valley Habitat for Humanity Home Ownership Project	\$50,000.00	2015 Fox Valley Habitat for Humanity - Home Ownership Project	\$26,279.73	Unexpended funds
		2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2019 Neighborhood Revitalization TBD Project	TBD*	2018 Public Service Project Funds	\$184,379.00	Unexpended funds
		2018 Program Administration	\$328,317.00	Program Income Used/ Unexpended funds
Estimated Reallocation Total	\$419,542.17	<i>*Per HUD regulatory requirements, unexpended 2018 public service and program administration funds may not be carried over into 2019 for similar activities. Any unexpended funds will be reprogrammed for a Neighborhood Revitalization Project, TBD following the close of the City’s CDBG Program Year on 12/31/18.</i>		

Please be advised that effective December 2, 2018, the proposed *Substantial Amendments to the City of Aurora’s 2014, 2015, 2017, and 2018 Annual Action Plans* will be available for review and public comment at the following locations: City of Aurora-Neighborhood Redevelopment, 51 E. Galena Blvd, Aurora, IL; Library-Main, 101 S. River St., Aurora, IL; Library-Eola, 555 S. Eola Rd, Aurora, IL; Library-West, 233 S. Constitution Dr., Aurora, IL; and on the City of Aurora website: <https://www.aurora-il.org/1175/Neighborhood-Redevelopment>.

Upon advance request, the City will provide for translation services to meet the needs of non-English speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities. For more information, to make special arrangements, or to provide comments, contact Neighborhood Redevelopment Division, 51 E. Galena Blvd, (630) 256-3320 or dnr@aurora-il.org. Comments are being accepted no later than January 22, 2019. The Substantial Amendment is scheduled for review and adoption at the City Council’s January 22, 2019 meeting at 6:00 p.m., Council Chambers, 2nd Floor, City Hall, 44 E. Downer Place, Aurora, IL 60507.

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HOUSING & COMMUNITY DEVELOPMENT BLOCK GRANT CONSOLIDATED PLAN

Program Years 2015 - 2019

PROPOSED SUBSTANTIAL AMENDMENTS (2018-#4) to
2014, 2015, 2017, and 2018
Annual Action Plans
CDBG Funds
DRAFT (12-2-18)



Division of Neighborhood Redevelopment • 51 E. Galena Blvd • Aurora, Illinois 60505
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SUBSTANTIAL AMENDMENT 2018-#4

In accordance with the City of Aurora’s Citizen Participation Plan and the requirements of HUD, a public hearing will be held at 5:00 p.m. on December 17, 2018, City Hall, Council Chambers, 2nd Floor, 44 E. Downer Place, Aurora, IL 60507 to provide an opportunity for comment on proposed *Substantial Amendments to the City of Aurora’s 2014, 2015, 2017, and 2018 Annual Action Plans*. The Substantial Amendments will result in the re/allocation of unexpended fund and adjustments needed to ensure the integrity of the City’s spending obligations and also further address the City’s effort to comply with HUD’s regulatory requirements in administering the City’s CDBG Program. Per this Substantial Amendment, unexpended CDBG funds will be de-obligated and reprogrammed as outlined in the below chart:

Proposed New or Amended CDBG Activity	Recommended Re/Allocation to New Activity	CDBG Program Year Funding Source		Original Funding Allocation	Comments
2018 PADS Inc. DBA Hesed House HVAC Installation (New)	\$80,689.00	\$41,691.59	2014 Waubensee Community College - Activity Cancellation	\$41,691.59	Project cancelled
		\$20,000.00	2018 National Latino Education Institute – Community Employment Services	\$20,000.00	Project cancelled
		\$18,997.41	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds

2018 Seize the Future DBA Invest Aurora Micro-Enterprise Program	\$50,000.00	\$50,000.00	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2018 City of Aurora Engineering Division – Street Resurfacing Program	\$168,853.17	\$168,853.17	2018 Public Facilities Project TBD	\$181,570.85	Unexpended funds
2018 City of Aurora Public Works Lead Hazard Reduction Program (New)	\$50,000.00	\$12,717.68	2018 Public Facilities Project TBD	\$181,570.85	Unexpended funds
		\$37,282.32	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2018 Choose Aurora Down Payment Assistance	\$20,000.00	20,000.00	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2017 Fox Valley Habitat for Humanity Home Ownership Project	\$50,000	\$26,279.73	2015 Fox Valley Habitat for Humanity - Home Ownership Project	\$26,279.73	Unexpended funds
		\$23,720.27	2018 Economic Development Project TBD	\$150,000.00	Unexpended funds
2019 Neighborhood Revitalization TBD Project	TBD*	\$184,379.00	2018 Public Service Project Funds, TBD		Unexpended funds
		\$328,317.00	2018 Program Administration, TBD		Program Income Used/Unexpended funds
Reallocation Total	\$419,542.17	<i>*Per HUD regulatory requirements, unexpended 2018 public service and program administration funds may not be carried over into 2019 for similar activities. Any unexpended funds will be reprogrammed for a Neighborhood Revitalization Project, TBD following the close of the City's CDBG Program Year on 12/31/18.</i>			

Updates relating to the City's CDBG Program allocations via Substantial Amendment 2018-#4 are highlighted in the following 2018 AAP sections: Executive Summary, AP-12, AP-15, AP-20, and AP-35, AP-55.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan (AAP), effective from January 1, 2018 through December 31, 2018, is intended to promote decent, safe, sanitary, and affordable housing, as well as neighborhood stability within the City of Aurora. It continues to address long-standing housing and community development priorities defined in the City's 2015-2019 Consolidated Plan, which include physical, economic, demographic, and social conditions throughout the city. As the lead agency, the City of Aurora's Neighborhood Redevelopment Division (NRD) is responsible for carrying out activities to meet the Plan's priority needs.

The Annual Action Plan describes the activities the City intends to undertake in Program Year 2018 with funds received from the U.S. Department of Housing and Urban Development (HUD). The programs include the Community Development Block Grant Program (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Solutions Grant Program (ESG).

The City's 2018 allocations were estimated at 90% of the City's 2017 annual allocation and approved by City Council on January 9, 2018. . On May 1, 2018, HUD announced its 2018 allocations which included significant increases to the City's CDBG and HOME funds as well as a first-time ESG allocation. In keeping with HUD requirements, the City allocated the funds via its Substantial Amendment process. The Substantial Amendment clarified the City's ESG implementation efforts and compliance with HUD's regulatory requirements and also removed the Homebuyer activity reference under the City's HOME Program. The City does not allocate HOME funds for Homebuyer activities and as a result, does not need to offer resale/recapture provisions.

On August 26, 2018, the City posted an additional Substantial Amendment to the City's 2018-2019 Fourth Program Year Action Plan. HUD requested the City re/allocate an additional \$531,570.85 of recaptured and/or unallocated funds that were not included in the City's original 2018 Annual Action Plan submittal (which was based upon an estimate, rather than HUD's actual 2018 allocation). Three new CDBG activities are proposed under this Amendment: funding for the City's Section 108 Loan Quarterly Interest Fees for the Aurora Arts Centre Project, funding for an economic development activity, and funding for a public facility project. The economic development and public facility direct activities are to be determined at a future date via the City's Citizen Participation Process for Substantial Amendments. Also although the HOME allocations were previously provided in the public notice and anticipated for the City's 2018 Annual Action Plan, this 2018-#3 Amendment will further clarify the amounts under the Plan's AP-20 and AP-35 sections.

The Annual Action Plan describes the activities the City intends to undertake in Program Year 2018 with funds received from the U.S. Department of Housing and Urban Development (HUD) for the following

programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Section 108.

Via Substantial Amendment 2018-#4, a number of activities are recommended to increase the City's spending timeliness ratio and to further assist CDBG funding agencies meet their project deadlines by allowing CDBG applicants to access funding more quickly. Funds in the total amount of \$419,542.17 are proposed for reallocation to CDBG eligible activities. In addition to this Executive Summary, the proposed Substantial Amendment's activities and correlated citizen participation process for approval are found on the following updated 2018 Annual Action Plan sections: AP-12, AP-15, AP-20, and AP-35.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan. In keeping with the City's 2015-2019 Consolidated Plan priorities, the City identified the following higher priority non-housing needs for the upcoming 2018 Program Year:

The 2018 Program Year Outcomes are found in the AP-20, "Goals and Outcomes." In summary, the City's objectives include: increase youth services, especially those proposals/projects which complement overall City goals of violence prevention and youth education; provide supportive services for elderly/disabled persons; provide employment training to unemployed persons; mitigate or prevent homelessness; increase/improve the quality of facilities (including public service facilities); help smaller businesses create or retain full time jobs at a living wage; improve rate of survival of micro-enterprise businesses; provide micro-business expansion within locally selected neighborhoods, including the NRSA; initiate improvement efforts in locally selected geographical areas that complement City Neighborhood Plans.

In addition, to meet HUD's CDBG National Objectives of Low to Moderate Income (LMI) Benefit, the City also evaluated projects based upon the following criteria:

1. Ability to address a City Objective
2. Capacity and experience of the organization
3. Leveraged resources
4. Project Readiness
5. Location/service area

The City also continues to work toward meeting its housing priority needs which are as follows:

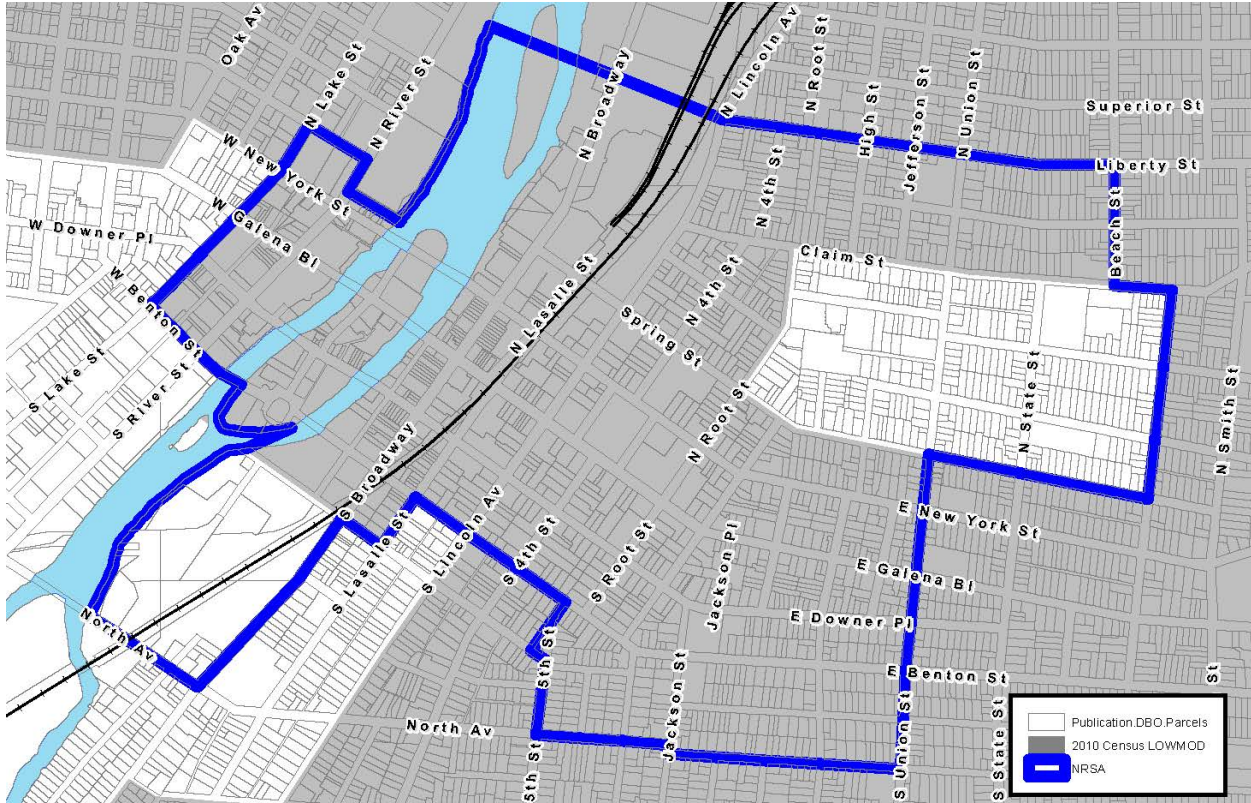
Existing housing in need of repairs or renovations to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards, and owned and occupied by income-eligible households whose housing costs exceed 30% of income.

Households between 40% and 80% of median income that are trying to purchase their own homes and contribute to the stabilization of neighborhoods within the city.

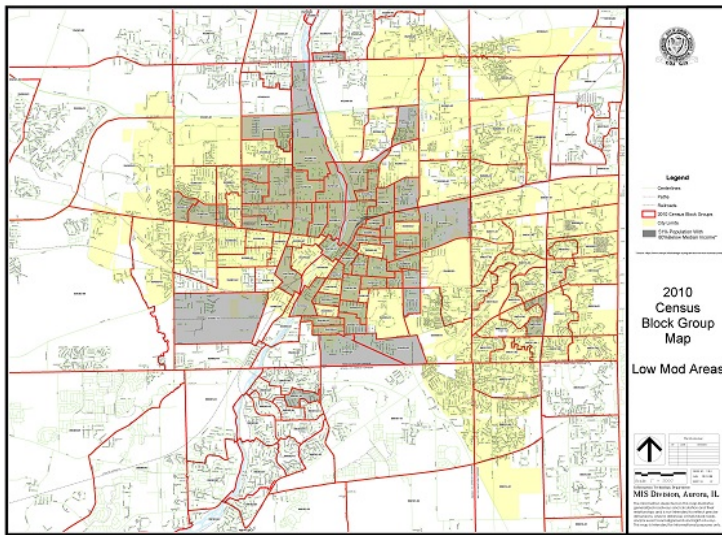
Income-eligible renter households with housing cost burdens greater than 30% of their income, living in housing in need of repairs or renovation to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards.

Very low-income households at risk of becoming homeless or currently homeless households that are very mobile within Aurora, and are proportionately larger users of human services, health care, or emergency services.

The City will also continue to work within the HUD-approved Neighborhood Revitalization Strategy Area (NRSA) encompassing the City's near-east side and its downtown, covering portions of census tracts 8533.02; 8534.03 (per 2010 Census update, no longer considered an LMI census tract); 8534.04; 8536.03; 8536.04; 8537.01; 8537.02; 8541.02. The NRSA has concentrations of low-income residents, substandard housing and overcrowded housing. The NRSA was first approved by HUD in 1999 and was amended and approved in 2011. Since the adoption of its 2015-2019 Consolidated Plan, the City recognized changes to BG/CTs within the NRSA impact its boundaries. The City will seek the consultant services to facilitate the public participation process as it reviews the existing boundaries and proposes amendments to address the changing LMI BG/CT areas and to further explore whether areas adjacent to the NRSA can be included. As before, this effort will require HUD approval and until such time as it moves forward, the City will continue to use the amended 2011 Strategy through the remainder of its Consolidated Plan period. The City anticipates that the NRSA amendment effort will commence during the 2018 Program Year in conjunction with the development of the City's 2020-2025 Consolidated Plan.



NRSA Map



City of Aurora LMI Areas (Gray Shaded Areas=LMI BG/CTs)

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects. During its 2017 Program Year, the City allocated over \$1.3 million in CDBG funds toward

housing, public services, and capital improvement projects. The City provided down payment assistance to 12 households and housing rehabilitation assistance for 23 single family unit households (owner-occupied). The City's CDBG public service funds were used to assist 6,592 beneficiaries as follows:

- Operating Costs of Homeless/AIDS Patients Programs: 536
- Senior Services: 4,966
- Legal Services: 23
- Youth Services: 582
- Substance Abuse Services: 58
- Battered and Abused Spouses: 268
- Employment Training: 159

All beneficiaries were required to meet HUD's National Objective of Low-Moderate Income (LMI) Benefit standards. Due to the City's delayed receipt of HUD funds in late fall, the capital projects did not commence until 2018. The anticipated LMI beneficiaries under the City's capital improvement funding allocation are as follows:

- Neighborhood Revitalization: 3,280 (reflects LMI population in service area – BG/CTs: 8535.05, 8534.06, 8541.02, and 8542.01)
- Public Facilities: 703

During the 2017 Program Year, the City also received approval for its Section 108 Loan Application. Through the Section 108 Loan (\$3M) as well as its CDBG funds (\$700K), \$3.7M of HUD funds was used to provide funding for the Aurora Arts Centre commercial component of this mixed-use housing and economic development project. This project will create at least 74 FTE jobs. Regarding the residential component of the project, the City anticipates committing \$600,000 in HOME funds for the residential component of the Aurora Arts Centre Project during the 2018 program year, following the expiration of the Coulter Courts' affordability period on January 28, 2018.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City's CDBG Citizen Participation Plan (Appendix A) identifies steps that must be taken to ensure public participation during the development of an Annual Action Plan.

2018 AAP Citizen Participation Process: The City announced its pre-application CDBG workshop in August 2017. The mandatory workshop for potential 2018 applicants was held on September 8, 2017. At that time, the City presented its intentions for its HOME allocation with funding designated for program administration (10% of anticipated allocation) and the Direct Project and Community Housing Development Organization set-aside activities TBD. The CDBG application period was open for approximately one month. 20 applications were submitted by the October 6, 2017 deadline. City staff

reviewed the applications then conducted a special meeting to review the applications with the Block Grant Working Committee (BGWC) on November 15, 2017. The BGWC voted unanimously to approve 13 projects for recommendation to the City Council. The City Council approved the 2018 AAP on January 9, 2018.

SUBSTANTIAL AMENDMENT 2018-#2: On May 1, 2018, the City received notification from HUD that it was to receive a first-time allocation of Emergency Solutions Grant (ESG) Funds. The City immediately notified Kane County Continuum of Care leadership of the allocation. At the CoC Board meeting on May 31, 2018, the City informed CoC Board members of its intention to seek proposals from qualified agencies interested in providing services to homeless and at-risk families and individuals within the City's geographic region. The City followed its Citizen Participation Plan's Substantial Amendment Process to allocate its ESG funds. A public notice was posted in the Beacon News on June 17, 2018. A public hearing was held on July 2, 2018. The City Council approved the ESG allocation on July 24, 2018 and in doing so, completed the process for submitting its 2018 Annual Action Plan to HUD to allocate its CDBG, HOME, and ESG Program Funds.

SUBSTANTIAL AMENDMENT 2018-#3: On August 26, 2018, the City announced its public comment period for Substantial Amendments (2018-#3). The purpose of this Substantial Amendment to the City's 2018-2019 Fourth Program Year Action Plan was to re/allocate an additional \$531,570.85 of recaptured and/or unallocated funds that were not included in the City's original 2018 Annual Action Plan submittal (which was based upon an estimate, rather than HUD's actual 2018 allocation). 3 new CDBG activities were proposed under this Amendment: funding for the City's Section 108 Loan Quarterly Interest Fees for the Aurora Arts Centre Project, funding for an economic development activity, and funding for a public facility project was reserved on a generalized basis for a public facility project(s). The economic development and public facility direct activities are TBD via the City's Citizen Participation Process for Substantial Amendments.

This Substantial Amendment clarified the City's ESG implementation efforts and ensured compliance with HUD's regulatory requirements by removing the Homebuyer activity reference under the City's HOME Program as the City does not allocate HOME funds to Homebuyer activities. Finally, although the HOME allocations were previously provided in the public notice and anticipated for the City's 2018 Annual Action Plan, the 2018-#3 Amendment clarified the amounts under the Plan's AP-20 and AP-35 sections. A public notice was posted in the Beacon News on August 26, 2018. A public hearing was held on September 10, 2018. The City Council approved the Substantial Amendment (2018-#3) on September 25, 2018.

The development and adoption of the City's 2018 Annual Action Plan was consistent with its Citizen Participation Plan and all applicable HUD regulations.

5. Summary of public comments

Annual Action Plan – DRAFT (12/2/18)

Substantial Amendment 2018 - #4

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Public hearings for the City's 2018 Annual Action Plan were conducted on December 18, 2017, July 2, 2018, and September 10, 2018. The City received public comments during its public hearing for its 2018 Annual Action Plan CDBG and HOME allocations on December 18, 2017. A public hearing was held on December 18, 2017. One member of the public, Michelle Myer, Executive Director, Mutual Ground, attended the public hearing. She thanked the Committee for their recommendation for continued operations support for the Domestic Violence Shelter Program. She also thanked the Committee for their capital funding recommendation on the Exterior Renovation Project. The City also received oral and written comments during its public hearing for its ESG allocation on July 2, 2018. For Substantial Amendment 2018-#2, oral and written comments were submitted by Hesed House's Executive Director, Ryan Dowd. He shared his thoughts regarding the City's highest homeless prevention needs in the following order of priority: emergency shelter, rapid rehousing, homeless prevention, and street outreach, and stated that ideally, 100% of the non-administrative funds would be put towards emergency shelter and rapid rehousing activities. All comments were accepted under the first two public comment periods. The City did not receive any comments for the third public comment period which concluded on September 25, 2018.

Substantial Amendment 2018-#4: a public hearing is scheduled for December 10, 2018. As of the date of the Substantial Amendment's public announcement, public comments have not been received.

6. Summary of comments or views not accepted and the reasons for not accepting them

Public hearings for the City's 2018 Annual Action Plan were conducted on December 18, 2017, July 2, 2018, and September 10, 2018. With the formal adoption of its 2018 CDBG, HOME, and ESG allocations, all public comments were accepted on January 9, 2018 for the CDBG and HOME allocations and, on July 24, 2018 for the ESG allocation. Public comments were not received under the public comment period for the City's September 25, 2018 adoption of its reallocation of prior year program funds.

Substantial Amendment 2018-#4: a public hearing is scheduled for December 10, 2018. As of the date of the Substantial Amendment's public announcement, public comments have not been received.

7. Summary

The City's 2018 HUD funds will be used to meet goals and objectives established and approved by HUD. The 2018 Plan goals and objectives were developed as per the above noted public participation process. The 2018 Plan's funding allocations were approved by the City in an effort to meet the goals and objectives outlined in the City's overall 2015-2019 Consolidated Plan Goals and Objectives which were developed based upon the City's consultation with citizens, nonprofit organizations, developers, businesses, funding partners, schools and other governmental bodies. Their overarching purpose is to support the development of viable communities with decent housing, suitable living environments and economic opportunities for the City's low to moderate income households.

The City will pursue these goals and objectives by working with the nonprofit community, housing developers, neighborhood groups, associated businesses, stakeholders, labor union representatives, other local government entities, residents and partners. The Neighborhood Redevelopment Division will also work closely with several other City agencies to jointly plan, implement and evaluate the Plan's core activities.

Substantial Amendment 2018-#4: The City is following its Citizen Participation Plan's Substantial Amendment Process to allocate its ESG funds. A public notice was posted in the Beacon News on December 2, 2018. A public hearing is scheduled for December 10, 2018. The public comment period will close on January 8, 2019, which is also the date the City Council will review and potentially approve the Substantial Amendment.

DRAFT

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	AURORA	
CDBG Administrator	AURORA	Neighborhood Redevelopment Division
HOME Administrator	AURORA	Neighborhood Redevelopment Division
ESG Administrator	AURORA	Neighborhood Redevelopment Division

Table 1 – Responsible Agencies

Narrative (optional)

As a HUD entitlement grantee, the City of Aurora designated its Neighborhood Redevelopment Division (NRD) as the lead agency for administration of the CDBG, HOME and ESG Programs. The City’s Block Grant Working Committee serves as the policy body that provides oversight to the development of the Consolidated Plan, the Annual Action Plan, and related housing and community development programs. The (NRD) works with area community development and housing organizations, businesses, and funders to plan, develop, implement, and evaluate activities outlined in this Plan. The City of Aurora serves as the overall administrator for the programs and activities under this Plan.

Consolidated Plan Public Contact Information

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 Innovation and Core Services Department
 Neighborhood Redevelopment Division
 51 E. Galena Blvd.
 Aurora, IL 60505
 630-256-3320
 nrd@aurora-il.org

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City's 2018 Annual Action Plan (AAP) was prepared by its Neighborhood Redevelopment Division. As the lead agency, the City of Aurora's Neighborhood Redevelopment Division (NRD) is responsible for carrying out activities to meet priority needs. The City followed its Citizen Participation Plan in announcing its 2018 AAP funding cycle as well as its 2018 draft AAP.

A mandatory workshop for potential 2018 applicants was held on September 8, 2017. The CDBG application period was open for approximately one month. 20 applications were submitted by the October 6, 2017 deadline. City staff reviewed the applications, then conducted a special meeting to review the application with the Block Grant Working Committee (BGWC) on November 15, 2017. The BGWC voted and unanimously approved 13 projects for recommendation to the City Council. The public notice was posted on December 11, 2017. A public hearing was held on December 18, 2017. The City Council approved the 2018 AAP on January 9, 2018. Until the City receives its HUD Funding Allocation Notice, it does not submit its AAP. The City's receipt of its 2018 HUD funding allocation occurred on May 1, 2018, at which time, the City received its first- ever Emergency Solutions Grant (ESG) Allocation. As a result, the City followed its Substantial Amendment Citizen Participation Process to accept its ESG funds. The Block Grant Working Committee reviewed the ESG funding allocation and recommended approval on June 6, 2018. The public notice was posted on June 17, 2018. A public hearing was conducted on July 2, 2018. The City Council approved the Substantial Amendment to the 2018 AAP on July 24, 2018. An additional Substantial Amendment was required to allocate unexpended funds from prior program years. The public notice was posted on August 26, 2018. A public hearing was conducted on September 10, 2018. The City Council approved this Substantial Amendment to its 2018 AAP on September 25, 2018.

Copies of the notices are available in the 2018 AAP's Citizen Participation Comments Appendix.

Table 2 lists agencies, groups, organizations and others who participated in the process. Descriptions regarding the jurisdiction's consultations with housing, social service agencies and other entities can be found below and in the Citizen Participation Comments Attachment, p. 9.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City makes an effort to communicate and carry on dialogue with service providers and the community-at-large about the Consolidated Plan goals, objectives, and activities to support the current Plan. In addition, staff from the Mayor's office participates in a variety of non-profit roundtable discussions and coordinates meetings to identify needs for service and investment within the community.

Enhanced coordination efforts is demonstrated in recent success in the City's HOME funded senior living center project which was completed in May 2017. The project included 44 Project Based Vouchers (PBV) and partnerships with numerous entities including Evergreen Realty (affordable housing developer), Northern Lights Development Corporation (non-profit housing development arm of the Aurora Housing Authority), Aurora Housing Authority, Invest Aurora, and IHDA (LIHTC). Continued success demonstrated through the City's anticipated mixed use development, Aurora Arts Centre Project, which includes partnerships with The Community Builders (affordable housing developer), Paramount Theatre, Invest Aurora, IHDA (LIHTC), IL DCEO (River's Edge Zone Credits), and Association for Individual Development (AID).

The City continues coordination efforts through its Frequent Users of Systems Engagement (FUSE) partnership with other rapid re-housing, health, and mental health and service agencies. This effort is further described in "AP-65, Homeless and Other Special Needs Activities".

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Aurora actively participates in the Kane County Continuum of Care (CoC) system and is one of three local governments that provide non-federal funding on an annual basis to administratively support the CoC. As an active partner of the CoC, the City is a substantial force to promote better outreach, case management, and planning to address homeless issues in the City.

Hesed House, the largest homeless services provider in the City, is located in a former municipal building. This building was converted into a full service homeless facility through the use of City funds. Hesed House has become the second largest homeless shelter in the State of Illinois and has established a comprehensive resource center to help transition individuals out of homelessness. The City supported this community resource, and other homeless services, expand over the last three years by completing facilities improvement infrastructure projects funded via the CDBG program and local funds.

Goals and objectives for persons with special needs benefit from programs and services provided by social service agencies listed below that received 2018 funding,

- Increase youth services - assistance to Family Focus Childcare and the VNA for dental care program.
- Supportive services for the elderly and disabled – assistance to Senior Services Associates
- Employment training to unemployed persons – assistance to National Latino Education Institute
- Improve quality of homes – assistance to Rebuilding Together Aurora for home accessibility repairs.

The City and its CoC partners continue to press for funding and program efficiencies to maintain the County's over 300 emergency shelter beds in the face of greater program demand. In addition to the vital service base provided with emergency shelter beds, the City and its partners also emphasize transitional housing as a strategic response to reduce homelessness. To help individuals and families work toward permanent housing and self-sufficiency each organization provides case management and other supportive services, which include life skills training, advocacy, and referrals to partner agencies. These services are provided to individuals or families experiencing, or at risk of experiencing, homelessness to help them address the root cause(s) of homelessness and maintain stable housing.

Aurora will continue participating in the Kane County Continuum of Care and will support implementation for the actions and activities outlined in the Continuum's Homeless Strategy. Also, under PY 2018, the City allocated funding to mitigate or prevent homelessness by providing assistance to Breaking Free's Homeless Substance Abuse Treatment Services, Mutual Ground's Emergency Shelter (domestic violence), and Hesed House's SEEDs Homeless Services Management.

Additional information regarding the City's effort to address its special populations as it relates to its partnerships with the Aurora Housing Authority and Kane County Continuum of Care are further described in AP-60 and AP-65, respectively.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

On May 31, 2018, the City began formal consultation with the Kane County Continuum of Care Board of Directors to discuss the use of ESG funds. The City will work closely with the CoC and HUD field office to define requirements for allocating ESG funds for eligible activities to best meet the needs of people in the City. The City will work with a consultant to develop performance standards and evaluate outcomes. The City will help develop funding, policies, and procedures to operate and administer a Homeless Information Management System (HMIS), which will be developed to complement the CoC's goals, objectives, and priority needs.

As noted above, the City consulted the Continuum of Care immediately after it received notice of its ESG allocation. As a first-time recipient of ESG funds, the City intends to use the CoC's written standards as a template for creating its own guidelines for the provision of ESG assistance for its 2018 allocation. The City will adopt core performance metrics similar to those being used by the Continuum of Care, adding metrics as needed to address specific City priorities.

The City of Aurora is an active participant in the Kane County Continuum of Care (CoC) system and is one of three local governments that provide non-federal funding on an annual basis to cover the cost of CoC

administration. The CoC Board is chaired by a City representative, and the City's largest homeless services provider is a key participant in the CoC's HMIS planning and evaluation processes.

Continuum of Care membership is open to agencies that serve Kane County's homeless residents and individuals that have an interest in ending homelessness in Kane County. The following organizations have participated in the Kane County Continuum of Care's activities:

- 360 Youth Services
- Joseph Corporation
- Association for Individual Development
- Kane County
- City of Aurora
- Kane County Regional Office of Education
- Community Crisis Center
- Lazarus House
- Ecker Center
- Midwest Shelter for Homeless Veterans
- City of Elgin
- New Life Transitions
- Elgin Police Dept.
- Prairie State Legal Services
- Hased House
- Wayside Cross
- Hines VA
- Kane Workforce Development
- PADS of Elgin

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

Identify any Agency Types not consulted and provide rationale for not consulting

Efforts to include all agency types relevant to the Annual Action Plan were contacted for input into the process and development of the 2018 AAP. These efforts included email notices, public notices at the City and three library branches, website notices, newspaper notices, and public hearings. Additionally, the Neighborhood Redevelopment Division communicates throughout the year with the various agencies, partners, and government bodies to gather information and identify needs.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Kane County	The Continuum of Care Kane County was created in 1999 and includes the Kane County CDBG Program Area and the Cities of Aurora and Elgin. The Continuum consists of organizations and agencies that assist individuals and families that are at-risk of being homeless or are in various stages of homelessness. These social service agencies provide: Outreach, Assessment and Intake; Emergency Shelter; Permanent Housing; Permanent Supportive Housing; and Supportive Services. The Continuum is responsible for the coordination of all of the social service agencies throughout the County to ensure that all gaps and overlaps in services are addressed. The City participates in the CoC addresses to further enhance its 2015-2019 Consolidated Plan goal to mitigate or prevent homelessness.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

Not applicable.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

To ensure citizen participation, the City of Aurora adopted a Citizen Participation Plan on September 4, 2010. The Plan can be found here: https://www.aurora-il.org/documents/neighborhoodredevelopment/doc_2010_citizen_participation_plan.pdf

The following dates reflect the City's public comment periods for its 2018 Annual Action Plan Approval:

1. December 11, 2017 to January 9, 2018
2. June 17, 2018 to July 24, 2018
3. August 26, 2018 to September 25, 2018.
4. December 2, 2018 to January 22, 2019.

The City's 2018 Annual Action Plan was made available for public comment on December 11, 2017. The public comment period concluded on January 9, 2018. The City's first Substantial Amendment to the 2018 Annual Action Plan to incorporate its new ESG allocation was made available for public comment on June 17, 2018. The public comment period concluded on July 24, 2018. The City's third Substantial Amendment to the 2018 Annual Action Plan to reallocate funds to three activities was made available for public comment on August 26, 2018. This public comment period concluded on September 25, 2018. The City's fourth Substantial Amendment to its 2018 Annual Action Plan to reallocate unexpended prior program year funds was made available for public comment on December 2, 2018. The public comment period is scheduled to conclude on January 22, 2019. As of the date of this report, public comments have not been received. Copies of the City's 2018 Annual Action Plan and its four Substantial Amendments were available for public display on the beginning dates of each public comment period at the at the following locations: City of Aurora-Neighborhood Redevelopment, 51 E. Galena Blvd, Aurora, IL; Library-Main, 101 S. River St., Aurora, IL; Library-Eola, 555 S. Eola Rd., Aurora, IL; Library-West, 233 S. Constitution Dr., Aurora, IL; and on the City of Aurora website: www.aurora-il.org.

Per the City's Public Participation Plan, copies of the Annual Action Plan were made available online, at the City's three library branches, and at the City's Neighborhood Redevelopment Division office.

Due to IDIS technical issues, the paper version of the 2018 AAP, the AP-12 Citizen Participation Outreach table is attached within the Plan's Citizen Participation Comments (p.1).

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	General Public/Non-Profit Organizations	58	Questions were asked by potential applicants with regard to eligible activities and application deadline.	Not applicable	
2	Public Hearing	General Public/Non-Profit Organizations	0	No public comments were received.	Not applicable	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Public Meeting	General Public/Non-Profit Organizations	0	No public comments were received.	Not applicable	
4	Internet Outreach	General Public/Non-Profit Organizations	Not applicable	No public comments were received.	Not applicable	
5	Newspaper Ad	General Public/Non-Profit Organizations	With this newspaper publication, the minimum 30-day public comment period was initiated.	No public comments were received.	Not applicable	
6	Public Meeting	General Public/Non-Profit Organizations	The P&D Committee approved the BGWC's recommendation.	No public comments were received.	Not applicable	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
7	Public Hearing	General Public/Non-Profit Organizations	1 member from the general public (Michelle Meyer). Also in attendance were 5 Block Grant Working Committee members (Deb Newman Roe, Joe Fuller, Earle Robertson, Helen Ratzlow, and Debra Meeks) and 2 City staff members (Rick Guzman and Amy Munro)	Michelle Meyer from Mutual Ground made comments related to her appreciation for the Block Grant Working Committee's recommendation of 2018 funding for Mutual Ground's public service and public facility CDBG projects.	Not applicable	
8	Public Meeting	General Public/Non-Profit Organizations	The COW Committee approved the BGWC's recommendation.	No public comments were received.	Not applicable.	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
9	Public Meeting	General Public/Non-Profit Organizations	The public comment period concluded on this date. The 2018 AAP reallocation recommendations were placed on the Council's "unfinished business" agenda. The 2018 AAP was approved by City Council.	No public comments were received.	Not applicable.	
10	Newspaper Ad	General Public	With this newspaper publication, the minimum 30-day public comment period was initiated.	Not applicable.	Not applicable.	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
12	Public Meeting	General Public/Non-Profit Organizations	BGWC recommended Substantial Amendment to 2018 AAP which marked the approval of the ESG allocations.	No public comments were received.	Not applicable	

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13	Public Hearing	General Public/Non-Profit Organizations	Ryan Dowd, Executive Director from Hesed House attended; also in attendance were 5 Block Grant Working Committee members (Gerald Lubshina, Joe Fuller, Earle Robertson, Helen Ratzlow, Veva Walton, and 2 City staff members, Amy Munro and Tara Neff).	During Mr. Dowd's testimony (which his attached written comments), he shared his thoughts regarding the areas of the City's highest homeless prevention need in the following order of priority: emergency shelter, rapid rehousing, homeless prevention, and street outreach, with a preference that ideally, 100% of the non-administrative	All comments were accepted.	
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Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
				funds would be put towards emergency shelter and rapid rehousing activities.		
14	Public Meeting	General Public/Non-Profit Organizations	The P&D Committee approved the BGWC's recommendation.	No public comments were received.	Not applicable	
15	Public Meeting	General Public	The COW Committee approved the BGWC's recommendation.	No public comments were received.	Not applicable	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
16	Public Meeting	General Public	The ESG amendment was placed on the Council's "unfinished business" agenda. It was subsequently approved by the City Council. The public comment period concluded on this date.	No public comments were received.	Not applicable.	
17	Newspaper Ad	General Public/Non-Profit Organizations	With this newspaper publication, the minimum 30-day public comment period was initiated.	Not applicable.	Not applicable.	
18	Internet Outreach	General Public	Not applicable	Not applicable	Not applicable.	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
19	Internet Outreach	General Public/Non-Profit Organizations	0	No public comments were received.	Not applicable.	
20	Public Meeting	General Public/Non-Profit Organizations	The BGWC recommended Substantial Amendment to 2018 AAP which marked the approval of the reallocation of unexpended prior year program funds.	Not applicable.	Not applicable.	
21	Public Meeting	General Public	The P&D Committee approved the BGWC's recommendation.	0	Not applicable.	http://aurora-il.granicus.com/MediaPlayer.php?view_id=1&clip_id=625

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
22	Public Meeting	General Public	The Committee of the Whole approved the BGWC's recommendation.	No public comments were received.	Not applicable.	http://aurora-il.granicus.com/MediaPlayer.php?view_id=1&clip_id=626
23	Public Meeting	General Public	The public comment period concluded on this date. The 2018 AAP reallocation recommendations were placed on the Council's "unfinished business" agenda. The 2018 AAP was approved by City Council.	No public comments were received.	Not applicable.	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
24	Public Meeting Block Grant Working Committee 12-	General Public	The BGWC recommended Substantial Amendment to 2018 AAP which marked the approval of the reallocation of unexpended prior year program funds.	No public comments were received.	Not applicable.	
25	Newspaper Ad 12-2-18	General Public/Non-Profit Organizations	With this newspaper publication, the minimum 30-day public comment period was initiated.	TBD	TBD	
26	Internet Outreach 12-2-18	General Public/Non-Profit Organizations	With this online publication, the minimum 30-day public comment period was initiated.	TBD	TBD	

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
27	Public Notice Posting at Libraries, City Hall, and Neighborhood Redevelopment Division 12-2-18	General Public/Non-Profit Organizations	With this public library notice, the minimum 30-day public comment period was initiated.	TBD	TBD	NOT APPLICABLE
28	Public Hearing 12-17-18	General Public/Non-Profit Organizations	TBD	TBD	TBD	TBD
29	Public Meeting 1-10-19: Planning & Dev. Committee Mtg.	General Public/Non-Profit Organizations	TBD	TBD	TBD	TBD

Citizen Participation Outreach	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
30	Public Meeting 1-15-19: Committee of the Whole	General Public	TBD	TBD	TBD	TBD
31	Public Meeting 1-22-19: City Council	General Public	TBD	TBD	TBD	TBD

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City's Expected Resources include the following:

CDBG: Total prior year funds \$419,542.17 are comprised of unallocated funds, unexpended funds from completed activities and cancelled projects and are included in the 2018 Annual Action Plan under the following projects: public facility improvements, economic development, and Section 108 Loan repayment, housing – home ownership, and neighborhood revitalization.

HOME: The expected remainder reflects the current PY 2018 funds and estimated PY 2019 funds (\$637,970) as per 90% of the City's 2018 allocation. (Amounts are rounded to the nearest dollar.)

ESG: Please see above contingency statement. The City received notice of its first ESG allocation in 2018. Via substantial amendment, the ESG funds are included in the City's 2018 AAP. Expected remainder reflects the current PY 2018 funds and estimated PY 2019 funds as per 90% of the City's 2018 allocation. (Amounts are rounded to the nearest dollar.)

Section 108: The City received a \$6M Section 108 Loan Guarantee from HUD in September 2017. Three Million of the \$6M Loan was committed to the Aurora Arts Centre economic development activities in December 2017. As the City's Section 108 Loan Program develops further, the City

will seek additional Section 108 projects.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,641,583	0	\$419,542.17	\$2,061,125.17	1,477,425	Please see above statement.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	750,553	0	0	750,553	1,637,970	Please see above statement.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	136,287	0	0	136,287	122,658	Please see above statement.
Section 108	public - federal	Economic Development Housing Other	3,000,000	0	0	3,000,000	3,000,000	The City received a \$6M Section 108 Loan Guarantee from HUD in September 2017. Three Million of the \$6M Loan was committed to the Aurora Arts Centre economic development activities in December 2017.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

This AAP outlines activities using funding from the Community Development Block Grant Program and the HOME Investment Partnerships Program. Funds are leveraged with those of nonprofit community development, housing and social service partners. Many of the 2018 HUD funded projects will also receive other local and private funds. The 2018 projects are required to demonstrate leveraged funds during the application process.

Through CDBG, HOME, ESG and Section 108 Programs, the City collaborates with partners to deliver resources effectively. The City:

Works with service and housing providers to address the housing needs of the low-mod income residents and special needs populations.

Works across City Departments/Divisions to complete major capital projects including roadway improvements.

Collaborates with housing providers to fund rehabilitation of existing homes and rehabilitation/redevelopment of private rental housing.

Partners with agencies, non-profits, and private sector entities whose mission and programming further the housing and community development objectives outlined in this Plan.

The above collaborations allow the City to access gas tax, grants, tax credits, gaming and general fund sources to leverage.

The City of Aurora will satisfy HUD's 25% match requirements by relying upon either its sponsor/developer's demonstration of a 25% match or its HOME banked resources. Details regarding the match requirements can be found in AP-90 HOME Program Requirements.

As a first-time ESG recipient, the City's ESG Program Match Requirements are still under development ; however, to satisfy the ESG's program match requirements per 24 CFR 576.201, City's ESG program must match its ESG grant funds with a dollar for dollar match. To meet this requirement, the City anticipates its and/or its (sub)recipients will meet the conditions of this 100 % match via allowable federal (other than ESG), state, local or private funds (cash and/or non-cash contributions which may include donated buildings or materials and volunteer services) as long as they meet the conditions outlined in 24 CFR 576.201 and further described in Section 24 CFR 576.500(o).

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Not applicable.

Discussion

See comments above.

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Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Housing/Improve/Sustain Existing Housing Supply	2015	2019	Affordable Housing	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Housing/Improve Existing Supply	CDBG: \$133,719	Homeowner Housing Rehabilitated: 55 Household Housing Unit
2	Housing/Increase Homeownership	2015	2019	Affordable Housing	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Housing/Homeownership	CDBG: \$120,000	Direct Financial Assistance to Homebuyers: 15 Households Assisted
3	Housing/Rental	2015	2019	Affordable Housing	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Housing/Rental	HOME: \$562,914	Rental units rehabilitated: 16 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Public Services/Youth	2015	2019	Non-Housing Community Development	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Public Services/Youth	CDBG: \$41,879	Public service activities other than Low/Moderate Income Housing Benefit: 5602 Persons Assisted
5	Public Services/Elderly/Special Needs	2015	2019	Non-Homeless Special Needs Non-Housing Community Development	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Public Services/Elderly/Special Needs	CDBG: \$30,000	Public service activities other than Low/Moderate Income Housing Benefit: 5175 Persons Assisted
6	Public Services/Employment Training	2015	2019	Non-Housing Community Development	Neighborhood Revitalization Strategy Area City of Aurora	Public Services/Employment Training	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 0 Persons Assisted
7	Public Services/Homelessness	2015	2019	Homeless	City of Aurora	Public Services/Homelessness	CDBG: \$112,500	Homelessness Prevention: 876 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	Public Facilities	2015	2016	Non-Housing Community Development	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Public Facilities	CDBG: \$375,689	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 540 Persons Assisted
9	CHDO Operating Costs	2015	2019	Affordable Housing	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	HOME/CHDO Projects Housing/Homeownership Housing/Rental	HOME: \$112,583	Rental units rehabilitated: 4 Household Housing Unit
10	Administration (CDBG/HOME/ESG)	2015	2019	CDBG/HOME Admin/CHDO Operating Costs/ESG	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	CDBG Administration HOME Program administration	CDBG: \$328,316 HOME: \$75,055	Other: 201110 Other
11	Homelessness Prevention (ESG)	2018	2019	Homeless	City of Aurora	Public Services/Homelessness	ESG: \$136,287	Other: 201110 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
12	Neighborhood Revitalization/Improvements	2015	2019	Non-Housing Community Development	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Neighborhood Improvements/Revitalization	CDBG: \$618,853	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 12000 Persons Assisted
13	Economic Development (Section 108)	2017	2019	Affordable Housing Non-Housing Community Development	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts	Economic Development/Small Businesses Neighborhood Improvements/Revitalization	CDBG: \$200,000	Jobs created/retained: 60 Jobs
14	Economic Development/Micro-Businesses	2015	2019	Non-Housing Community Development	Neighborhood Revitalization Strategy Area Low-Mod Census Tracts	Economic Development/Micro-Businesses Economic Development/Small Businesses	CDBG: \$50,000	Jobs created/retained: 5 Jobs Businesses assisted: 5 Businesses Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Housing/Improve/Sustain Existing Housing Supply
	Goal Description	
2	Goal Name	Housing/Increase Homeownership
	Goal Description	<p>The City offers a Down Payment Assistance Program to first time homeowners. The City also provides down payment assistance via partnerships with the Federal Home Loan Bank, Illinois Housing Development Authority, and Illinois Assist Programs.</p> <p>Via Substantial Amendment 2018-#4, the National Latino Education Institute (\$20,000) was cancelled (at the request of NLEI on 9-28-18). Funds were reprogrammed to the Choose Aurora down payment assistance program.</p> <p>An additional \$50,000 was reprogrammed from Economic Development project to the Fox Valley Habitat for Humanity's 2017 Home Ownership Project.</p>
3	Goal Name	Housing/Rental
	Goal Description	
4	Goal Name	Public Services/Youth
	Goal Description	
5	Goal Name	Public Services/Elderly/Special Needs
	Goal Description	

6	Goal Name	Public Services/Employment Training
	Goal Description	Via Substantial Amendment 2018-#4, the National Latino Education Institute's (NLEI) project has been cancelled via NLEI's program withdrawal request dated 9-28-18. Funds will be reprogrammed to the City's Choose Aurora down payment assistance program.
7	Goal Name	Public Services/Homelessness
	Goal Description	In partnership with the Hesed House, the City will funding assistance for the following four programs: SEEDS Case Management, public facility improvements, rapid re-housing case manager (referral system), and employee training. The City also supports Homeless prevention efforts through the Continuum of Care. More recently, the City has focused on the development of Permanent Supportive Housing.
8	Goal Name	Public Facilities
	Goal Description	Hesed House Comprehensive Resource Center: 680 S. River St., Aurora, IL 60506 (\$150,000) Mutual Ground: 418 Oak Avenue, Aurora, IL 60506 (\$145,000) Via Substantial Amendment 2018-#4, the Public Facilities category identified an additional project, the Hesed House HVAC installation, to be funded in the amount of \$80,689. An additional 540 City clients are expected to benefit from this project (number was added in the below goal indicators). Via Substantial Amendment 2018-#4, an additional \$168,853.17 was reprogrammed from Public Facilities to provide additional funding for the City's 2018 Street Resurfacing Program under the Neighborhood Revitalization Category.
9	Goal Name	CHDO Operating Costs
	Goal Description	Not applicable
10	Goal Name	Administration (CDBG/HOME/ESG)
	Goal Description	ESG program administration funds are allocated under the Homelessness Prevention (ESG) Activity.

11	Goal Name	Homelessness Prevention (ESG)
	Goal Description	As a new ESG Program recipient, the City is in the process of developing this program to meet HUD's requirements. Project allocations will be recommended via the City's citizen participation process at a future date.
12	Goal Name	Neighborhood Revitalization/Improvements
	Goal Description	Via Substantial Amendment 2018-#4, an additional \$50,000 in funds has been reprogrammed to fund a new Public Works Project which will focus on lead hazard reduction (to address lead pipeline issues) within income qualified areas and/or for income qualified homeowners. Via Substantial Amendment 2018-#4, an additional \$168,853.17 has been reprogrammed from the Public Facilities project to provide additional funding for the City's 2018 Street Resurfacing Program.
13	Goal Name	Economic Development (Section 108)
	Goal Description	Funds will be used to cover the Aurora Arts Centre Section 108 Loan Interest Fees until repayment begins.
14	Goal Name	Economic Development/Micro-Businesses
	Goal Description	Via Substantial Amendment 2018-#4, the Economic Development Activity was reduced by \$100,000, with the funds reprogrammed under the Neighborhood Revitalization project. With the remaining \$50,000 under this project category, a new activity will receive the funds. Seize the Future DBA Invest Aurora will administer a micro-business forgivable loan program. Project will benefit LMI business owners or create/retain LMI jobs.

Projects

AP-35 Projects – 91.220(d)

Introduction

The City allocates funding for projects based on the priority needs as established in the Goals and Objectives in its 2015-2019 Consolidated Plan. For the 2018 Program Year, the City approved the use of funds to address the needs related to the following: housing, public facility improvements, public services, homelessness prevention, economic development, and program administration. **Via Substantial Amendment 2018-#4, funds were reprogrammed either from or to the following projects: Public Services, Housing: Increase Home Ownership, Economic Development, and Neighborhood Revitalization.**

Projects

#	Project Name
1	Neighborhood Revitalization/Improvements
2	Administration (CDBG and HOME)
3	Public Facilities
4	Public Services/Elderly/Special Needs
5	Public Services
6	Housing: Increase Homeownership
7	Homelessness Prevention: Program Admin and Direct Activities (ESG)
8	Housing: Improve existing housing
9	Housing: Rental (HOME)
10	CHDO Project (HOME)
11	Section 108 Interest Payment for Aurora Arts Centre
12	Economic Development
13	Section 108 Economic Development Loan Pool
14	Section 108 Affordable Housing Tax Credit Facilitation Loan Pool
15	Section 108 Public Facilities Loan Pool

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

CDBG funds will mostly be used to address needs on a city-wide basis with individual low-to moderate income (limited-clientele benefit) beneficiaries. However, activities that provide an area benefit do so in areas that are determined to have a low-to moderate income population of at least 51%. A map

attached to this Plan presents data on the low-to moderate income areas of the City of Aurora.

The major obstacles to addressing underserved needs are as follows.

- The City's ability to provide comprehensive service delivery has been hindered by continuing cutbacks in funding for social services. The lack of awareness concerning service availability and the lack of transportation impede effective delivery of services to those who need them.
- Efforts to adequately house the homeless are hindered by a lack of adequate space, by the lack of year round facilities, and by the aforementioned service delivery issues. The number of homeless people is increased by a lack of transitional and permanent affordable housing.
- The City's general fund has insufficient resources to make needed infrastructure and public facility improvements, and important needs go unattended as a result.

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AP-38 Project Summary
Project Summary Information

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1	Project Name	Neighborhood Revitalization/Improvements
	Target Area	City of Aurora Low-Mod Census Tracts
	Goals Supported	Neighborhood Revitalization/Improvements
	Needs Addressed	Public Facilities Neighborhood Improvements/Revitalization
	Funding	CDBG: \$618,853.17
	Description	Initiate improvement efforts in locally selected geographical areas that complement City Neighborhood Plans. Via Substantial Amendment 2018-#4, an additional \$168,853.17 was reprogrammed from Public Facilities to provide additional funding for the City's 2018 Street Resurfacing Program. An additional \$50,000 was reprogrammed from the economic development activity to a new Public Works Lead Hazard Reduction Program with program guidelines to be developed at a future date in compliance with HUD regulations.
	Target Date	12/31/2019.
	Estimate the number and type of families that will benefit from the proposed activities	TBD, pending selected LMI census tracts.
	Location Description	LMI census tracts within the City of Aurora.
	Planned Activities	Street resurfacing project for streets within low-moderate income census tracts.
2	Project Name	Administration (CDBG and HOME)
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts
	Goals Supported	Administration (CDBG/HOME/ESG)
	Needs Addressed	CDBG Administration HOME Program administration
	Funding	CDBG: \$328,316 HOME: \$75,055 Unexpended CDBG funds were placed in the 2019 Neighborhood Revitalization category.

	Description	City of Aurora administration of the CDBG and HOME federal programs. (ESG Administration is included under its Homelessness Prevention Project.)
	Target Date	12/31/18
	Estimate the number and type of families that will benefit from the proposed activities	201,110
	Location Description	Citywide
	Planned Activities	City of Aurora administration of the CDBG and HOME federal programs which includes coordination with the City's HUD subrecipients, developers, and grantees as well as monitoring, financial recordkeeping, reporting, etc.
3	Project Name	Public Facilities
	Target Area	City of Aurora
	Goals Supported	Public Facilities
	Needs Addressed	Public Facilities
	Funding	CDBG: \$375,689
	Description	The public facility projects include improvements/upgrades to two non-profit facilities (homeless shelter and domestic violence shelter). Via Substantial Amendment 2018-#4, funds in the amount of \$80,689 were allocated to Hased House for an HVAC Installation project at 659 River St., Aurora, IL .
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 540 low and moderate income individuals and families will benefit.
	Location Description	Hased House, 659 River St., Aurora, IL

	Planned Activities	<p>Roof replacement for Hesed House’s Comprehensive Resource Center and exterior painting at Mutual Ground’s facility (lead safety precautions will be taken).</p> <p>Project TBD: \$181,570.85 will be allocated to a future project via the Substantial Amendment Citizen Participation Process</p> <p>Substantial Amendment 2018-#4: \$80,689 was reprogrammed from the cancellation of the 2014 Waubensee Community College and 2018 National Latino Institute Community Employment Services and 2018 Economic Development Project to the 2018 PADS DBA Hesed House HVAC Installation Project.</p>
4	Project Name	Public Services/Elderly/Special Needs
	Target Area	City of Aurora
	Goals Supported	Public Services/Elderly/Special Needs
	Needs Addressed	Public Services/Elderly/Special Needs
	Funding	CDBG: \$30,000
	Description	This project will provide care coordinator services for seniors and persons with disabilities age 18 and over that will allow them to live safely with improved health and dignity in their homes and community as long as possible.
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	This project will provide care coordinator services for seniors and persons with disabilities age 18 and over that will allow them to live safely with improved health and dignity in their homes and community as long as possible.
	Location Description	Senior Services Associates: 900 N. Lake St., Aurora IL 60506.
	Planned Activities	Care coordinator services.
5	Project Name	Public Services
	Target Area	City of Aurora
	Goals Supported	Public Services/Youth Public Services/Employment Training Public Services/Homelessness
	Needs Addressed	Public Services/Youth Public Services/Employment Training Public Services/Homelessness

Funding	CDBG: \$154,379
Description	<p>This project supports public services agencies that provide supportive services for youth, unemployed persons and homelessness prevention. Via Substantial Amendment 2018-#4, the National Latino Education Institute (\$20,000) was cancelled; the expected 15 beneficiaries were subtracted from the below goal outcome indicator and the estimated CDBG amount to be expended was subtracted as well. Funds were reprogrammed to the 2018 PADS Inc. DBA Hesed House HVAC Installation Project (under Public Facilities).</p> <p>Unexpended CDBG funds were placed in the 2019 Neighborhood Revitalization category.</p>
Target Date	12/31/2018
Estimate the number and type of families that will benefit from the proposed activities	<p>Approximately 12,066 LMI individuals (as well as families) who face challenges such as:</p> <ul style="list-style-type: none"> Chronic Homelessness Mentally Ill Chronic Substance Abuse Veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Non-housing Community Development
Location Description	Citywide. Specific locations are noted under "Planned Activities" section.

	Planned Activities	<p>Breaking Free, Inc. (\$25,000) Hesed House Comprehensive Resource Center, 680 S. River St., Aurora, IL 60506 The provision of substance use disorder screening, assessment and outpatient treatment services provided by Breaking Free Inc. at the Hesed House facility</p> <p>Family Focus (\$25,000) 550 Second Ave., Aurora, IL 60505 Early Childhood Services specifically serves children of parents engaged in GED, ESL, and parenting skills programs to give parents the opportunity to pursue their individual and family goals while providing quality childcare to their parents.</p> <p>Mutual Ground (\$50,000) 418 Oak Avenue, Aurora, IL 60506 Mutual Ground provides shelter, advocacy, and education to victims of domestic violence and sexual assault.</p> <p>Via Substantial Amendment 2018-#4, the National Latino Education Institute (\$20,000) was cancelled. Funds were reprogrammed to the Public Facilities Hesed House HVAC Installation Project .</p> <p>Prairie State Legal (\$12,500) 1024 W. Main Street, St. Charles, IL 60174 Legal services to aid low income Aurora residents with rental housing issues that may result in homelessness if not addressed</p> <p>Public Action Deliver Shelter, Inc. DBA Hesed House (\$25,000) 659 S. River St., Aurora, IL 60506 Provide case management to homeless persons.</p> <p>VNA (\$16,879) 400 N. Highland Ave. Improve oral health knowledge and engagement in dental care for low-income children (ages 0-9) through storytelling and education within clinic, childcare, and home settings (CDBG will provide for children's dental care books, dental kits, and marketing/education supplies) Specific uses for ESG funds to support Public Services/Homelessness have not yet been determined.</p> <p>Via Substantial Amendment 2018-#4: Unexpended CDBG funds were placed in the 2019 Neighborhood Revitalization category.</p>
6	Project Name	Housing: Increase Homeownership
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora
	Goals Supported	Housing/Increase Homeownership
	Needs Addressed	Housing/Homeownership
	Funding	CDBG: \$120,000

	<p>Description</p> <p>This project is administered through the City of Aurora, Choose Aurora is a down payment assistance forgivable loan program (with loan amounts between \$3,000 - \$10,000 and not to exceed up to 50% of the lender required down payment amount) aimed at first time homebuyers within the City Limits that are at/below 80% of the area median income.</p> <p>Via Substantial Amendment 2018-#4: Funds (\$50,000) were reprogrammed from the Economic Development project to and from the cancellation of one of the activities under the 2015 Fox Valley Habitat for Humanity Home Ownership Project to the 2017 Fox Valley Habitat for Humanity Home Ownership Project.</p> <p>Funds (\$20,000) were reprogrammed from the 2018 Economic Development Project to the 2018 Choose Aurora Project.</p>
	<p>Target Date</p> <p>12/31/2019</p>
	<p>Estimate the number and type of families that will benefit from the proposed activities</p> <p>Approximately 15 low and moderate income households will benefit.</p>
	<p>Location Description</p> <p>Down payment assistance will be provided to first-time homebuyers within the City's municipal boundaries.</p> <p>Via Substantial Amendment 2018-#4, Fox Valley Habitat for Humanity will continue its 2017 CDBG funded housing rehabilitation project and provide a home ownership opportunity for an income qualified client.</p>
	<p>Planned Activities</p> <p>Direct first-time homebuyer assistance.</p>
7	<p>Project Name</p> <p>Homelessness Prevention: Program Admin and Direct Activities (ESG)</p> <p>Target Area</p> <p>City of Aurora Low-Mod Census Tracts</p> <p>Goals Supported</p> <p>Administration (CDBG/HOME/ESG) Homelessness Prevention (ESG)</p> <p>Needs Addressed</p> <p>Public Services/Homelessness</p> <p>Funding</p> <p>ESG: \$136,287</p>

	Description	Selected project activities must relate to street outreach, emergency shelter, homelessness prevention, rapid re-housing, and Homeless management Information System (HMIS) Collection as well as program administration costs (7.5% of allocation = \$10,221). Direct project activities will be approved via the City's Citizen Participation Plan for Substantial amendments.
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	TBD
	Location Description	City of Aurora
	Planned Activities	As a new ESG Program recipient, the City is in the process of developing this program to meet HUD's requirements. Project allocations will be recommended via the City's citizen participation process at a future date. Goal outcomes will also be provided at a future date.
8	Project Name	Housing: Improve existing housing
	Target Area	City of Aurora
	Goals Supported	Housing/Improve/Sustain Existing Housing Supply
	Needs Addressed	Housing/Improve Existing Supply
	Funding	CDBG: \$133,719
	Description	This project which provides for housing rehabilitation for senior citizens and disabled homeowners to improve existing housing supply with units brought to code, made energy efficient and accessible.
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	Fifty-Five low to moderate households will be assisted. The program focuses specifically upon households owned by special needs or disabled residents which are owner occupied.
	Location Description	City-wide.
	Planned Activities	Fifty-Five low to moderate households will be assisted. The program focuses specifically upon households owned by special needs or disabled residents which are owner occupied.

9	Project Name	Housing: Rental (HOME)
	Target Area	City of Aurora
	Goals Supported	Housing/Rental
	Needs Addressed	Housing/Rental
	Funding	HOME: \$562,915
	Description	This project will redevelop or create rental units; replacement units for scattered site housing, elderly, special needs or homeless. The City will work with a developer to provide affordable rental housing for low and moderate income homeowners. HOME funded projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments.
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	This project will redevelop or create rental units; replacement units for scattered site housing, elderly, special needs or homeless. The City will work with a developer to develop affordable rental housing for tenants who are at 40-80% of AMI.
	Location Description	City-wide
	Planned Activities	HOME funded projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments.
10	Project Name	CHDO Project (HOME)
	Target Area	City of Aurora
	Goals Supported	Housing/Rental
	Needs Addressed	Housing/Rental
	Funding	HOME: \$112,583
	Description	The City will work with a Community Housing Development Organization(s) (CHDO) to develop affordable rental housing units. The City will work with a developer to provide affordable rental housing for low and moderate income homeowners. HOME funded projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments.
	Target Date	12/31/2018

	Estimate the number and type of families that will benefit from the proposed activities	Low - moderate income households will benefit from this activity. The City will work with a Community Housing Development Organization to ensure that affordable rental housing is provided for residents whose income levels are at 40-80% of AMI.
	Location Description	CHDO project location(s) will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments.
	Planned Activities	CHDO projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments.
11	Project Name	Section 108 Interest Payment for Aurora Arts Centre
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora
	Goals Supported	Economic Development (Section 108)
	Needs Addressed	Economic Development/Small Businesses Economic Development/Micro-Businesses
	Funding	CDBG: \$200,000
	Description	CDBG funds will be used to cover the quarterly Section 108 Loan interest payments for the Aurora Arts Centre until the project's loan repayments begin.
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	Per the Section 108 \$3M loan, at least 60 jobs will be created, with a minimum of 51% of the jobs to be filled by LMI individuals
	Location Description	NRSA
	Planned Activities	CDBG funds will be used to cover the quarterly Section 108 Loan interest payments for the Aurora Arts Centre until the projects loan repayments begin.
12	Project Name	Economic Development
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts
	Goals Supported	Economic Development/Micro-Businesses

	Needs Addressed	Economic Development/Small Businesses Economic Development/Micro-Businesses Neighborhood Improvements/Revitalization
	Funding	CDBG: \$50,000
	Description	<p>Via Substantial Amendment 2018-#4:</p> <p>Under this Economic Development Activity, \$50,000 was allocated to Seize the Future DBA Invest Aurora. A forgivable loan program to make micro- and small business forgivable loans between \$5,000 - \$15,000 that support entrepreneurs who may be unable to access traditional financing and who are seeking to establish, stabilize, or expand their business activities within the City's Neighborhood Revitalization Strategy Area (NRSA) or LMI neighborhood. Applicants will be awarded loans on a 90/10 basis, with the applicant required to demonstrate a 10% match.</p> <p>An additional \$50,000 from this activity was reprogrammed for an increase to the 2017 Fox Valley Habitat for Humanity Home Ownership Project. An additional \$50,000 has been reprogrammed for a new neighborhood revitalization project, City of Aurora Public Works Lead Hazard Reduction Program.</p>
	Target Date	12/31/2019
	Estimate the number and type of families that will benefit from the proposed activities	10-15 jobs, for at least 51% for LMI persons or assistance to 10-1LMI business owners.
	Location Description	Preference will be given to businesses located within the City's NRSA and LMI census tract areas.
	Planned Activities	Forgivable loans of between \$10,000 and \$15,000.
13	Project Name	Section 108 Economic Development Loan Pool
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts
	Goals Supported	Economic Development/Micro-Businesses
	Needs Addressed	Economic Development/Small Businesses
	Funding	Section 108: \$1,000,000

	Description	On a case-by-case basis, the Section 108 loan funds will be borrowed by the City of Aurora and re-lent to one or more Developers, except in cases where the City serves as the Principal Developer. Under this activity, the Section 108 loan funds may also be loaned to a not-for-profit entity (or subrecipient) who will act as Program Administrator for this program. The Program Administrator will be a private non-profit entity. The City will have a Subrecipient Agreement with the Program Administrator and it will be the responsibility of the Program Administrator to solicit and underwrite loans, manage accounting and reporting, and work with loan clients.
	Target Date	12/31/2019
	Estimate the number and type of families that will benefit from the proposed activities	Low and moderate income families
	Location Description	TBD
	Planned Activities	TBD
14	Project Name	Section 108 Affordable Housing Tax Credit Facilitation Loan Pool
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts
	Goals Supported	Housing/Rental
	Needs Addressed	Housing/Rental
	Funding	Section 108: \$1,000,000

	Description	The applicant for the 108 loan funds will be the City of Aurora. The City will borrow and initially re-lend the funds to non-profit and for-profit developers for the purposes of property development, construction, and management of workforce housing development. Each project will have a principal developer and equity will be provided thru Low Income Housing Tax Credits. The City of Aurora may provide either interim construction financing or permanent project financing thru the use of 108 funds. Upon completion of construction, the City will expect a general partnership to own, operate, and manage subject properties. All funds requested for this project will be used to facilitate work force housing for LMI residents as well as permanent supportive housing. Additionally, the funds will be used as bridge/gap financing for low income tax credits.
	Target Date	12/31/2019
	Estimate the number and type of families that will benefit from the proposed activities	Low and moderate income families
	Location Description	TBD
	Planned Activities	TBD
15	Project Name	Section 108 Public Facilities Loan Pool
	Target Area	Neighborhood Revitalization Strategy Area City of Aurora
	Goals Supported	Public Facilities Neighborhood Revitalization/Improvements
	Needs Addressed	Public Facilities Neighborhood Improvements/Revitalization
	Funding	Section 108: \$1,000,000

Description	The Section 108 loan funds will be borrowed by the City of Aurora and re-lent to one or more Developers, except in cases where the City serves as the developer. The Developer will be a private or a non-profit entity. The City will have a development agreement with the Developer and it will be the responsibility of the Developer to act as, or in some cases, hire the General Contractor. Similarly, all development agreements will contain all necessary requirements related to the disbursement of Section 108 funds within the context of the specific development budget and project.
Target Date	12/31/2019
Estimate the number and type of families that will benefit from the proposed activities	Low and moderate income families
Location Description	TBD
Planned Activities	TBD

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

City of Aurora: LMH, LMI/LC, LMI/J

NRSA: LMH, LMI/LC, LMI/J, LMA

Low to Moderate Income (LMI) Census Tracts: LMH, LMA, LMI/LC, LMI/J

Aurora's LMI Census Tract and Block Groups include the following (tracts above 50% are considered LMI):

TRACT BLKGRP LOWMODPCT

854700	1	50.84%
852907	2	51.11%
853007	3	51.18%
854302	1	51.46%
854301	1	55.25%
854302	3	55.44%
853007	1	55.79%
852905	2	56.00%
853200	2	56.57%
852906	1	57.33%
854100	3	58.00%
854002	5	58.08%
853600	2	58.76%
853500	1	60.29%
846515	3	61.67%
852905	1	61.94%
854400	3	62.20%
853007	2	62.82%
854301	4	63.19%
853300	1	63.35%
853400	5	63.81%
853300	2	63.89%
853400	6	64.58%
853600	4	64.64%
853600	1	65.35%
853600	3	65.86%

853008 1 66.36%
 854200 3 66.46%
 853400 1 66.67%
 853300 3 66.92%
 853400 2 67.16%
 852905 3 68.30%
 854400 6 69.30%
 852904 1 70.02%
 853500 3 70.98%
 854301 3 71.83%
 852907 3 71.96%
 853200 1 74.24%
 853005 3 74.47%
 854200 1 74.47%
 853400 4 74.91%
 853100 1 75.09%
 854100 4 75.24%
 854700 2 75.79%
 853200 3 76.23%
 853005 2 77.33%
 853100 2 78.76%
 854400 2 79.86%
 853006 2 83.52%
 854400 1 85.98%
 853500 4 87.23%
 854100 2 89.15%

Geographic Distribution

Target Area	Percentage of Funds
Neighborhood Revitalization Strategy Area	
City of Aurora	100
Low-Mod Census Tracts	24

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City's HUD funded activities take place city-wide and are based upon client need, some of whom may reside in the City's NRSA; however, none of the City's 2018 proposed projects specifically targeted the NRSA and therefore, estimated NRSA numbers are unavailable. The City's neighborhood

revitalization project focusing on resurfacing roads is targeted for the City's LMI tract areas. Of the City's 2018 allocation, \$400,000 was allocated to this neighborhood revitalization project.

Discussion

The overall mission of the CDBG, HOME and ESG programs is to improve the lives of lower income persons. The City will design and fund program activities to help income qualifying households wherever they live. Some of these programs will focus on the improvement of specific neighborhoods where lower income households live, whereas other programs will be designed and funded to promote greater choice in housing or employment or supportive services opportunities, regardless of location.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Based on the data included in the HUD tables and available to the City of Aurora, as well as the preferences and observations expressed during the community-wide and stakeholder surveys, the focus groups and the special information interviews, the City has identified these higher priority housing needs for the upcoming 2015 -2019 period:

Existing housing in need of repairs or renovations in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards, and owned and occupied by income-eligible households whose housing costs exceed 30% of income. Households between 40% and 80% of median income who are trying to purchase their own homes and contribute to the stabilization of neighborhoods within the city. Income-eligible renter households with housing cost burdens greater than 30% of their income, living in housing in need of repairs or renovation in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards. Very low-income households at risk of becoming homeless or households who are currently homeless and very mobile within Aurora, and who are proportionately larger users of human services, health care, or emergency. Additional information regarding the city’s effort to address its special populations as it relates to its partnerships with the Aurora Housing Authority and Kane County Continuum of Care are further described in AP-60 and AP-65, respectively.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	31
Special-Needs	59
Total	90

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	16
Rehab of Existing Units	59
Acquisition of Existing Units	15
Total	90

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

The number of households supported reflects the anticipated affordable homeownership opportunities

offered through the City's down payment assistance program. The number also reflects the anticipated number of homes to receive housing rehabilitation assistance through the City's partner, Rebuilding Together Aurora (RTA). RTA provides rehabilitation assistance for senior citizens and persons with disabilities

The one-year goal for the production and/or acquisition of affordable units is generally set at 16, with an additional 4 units to be added via the City's partnership with a CHDO. The City will solicit proposals at a future date and commit HOME funds via the City's Citizen Participation Plan's process.

AP-60 Public Housing – 91.220(h)

Introduction

The Aurora Housing Authority (AHA) provides public housing within the corporate limits of the City of Aurora. The City includes the Aurora Housing Authority (AHA) management in its communication and notification efforts regarding the development of its AAP.

Since the establishment of the City's 2015-2019 Consolidated Plan, the AHA has been involved on housing projects that are more in line with the City's housing policies and housing plans, including the use of Housing Authority funds to leverage private or non-profit partners in tax credit or other publicly financed projects. In fact, most notably in 2015 and in 2016, the AHA provided Project Based Vouchers for two LIHTC projects.

As the Responsible Entity for the AHA's ERRs, the City also performs the environmental review for the AHA's housing projects.

Actions planned during the next year to address the needs to public housing

Following the conclusion and recommendations of the City's Affordable Housing Task Force in June of 2012, the City immediately began working with the Aurora Housing Authority (AHA) on one of the most well-received housing models explored by the Task Force. This model involved utilizing Low Income Housing Tax Credits (LIHTC) for a development that consisted of scattered-site, single-family units with an option-to-purchase for the low-income residents. This Aurora scattered-site project was completed in 2014 and created 40 affordable rental housing units throughout the City. This LIHTC project, the Aurora Impact Initiative aka Fox Prairie Homes Development received partial funding from the City's NSP and HOME Programs. Following the successful completion of this project with project's developer, Brinshore development, the AHA partnered on a second project with the City and the project's developer, Evergreen Realty, on a senior housing affordable rental housing development. HOME funds were committed in December 2015 on this LIHTC project, and the project was completed in May 2017. Forty-four PBVs were provided by the AHA for this project, which created 56 affordable rental units and four market-rate units. In summer 2016, the City launched efforts to partner with another affordable housing developer, The Community Builders on another LIHTC project. Although the project will not be completed until the close of 2018, the AHA has committed 20 PBVs to this 76 unit project, of which 38 units will be rehabilitated and another 38 will be created for LMI tenants.

Additionally, the AHA's Strategic Plan 2013 - 2018 outlines the following major initiatives for Year 5

(April 2017 to March 2018) to address its public housing needs.

2. Social Media Activity (managed social media presence, targeted media marketing tie-in spring 2017)
5. Jericho Circle Multi Use Complex (construction phase – summer 2017 completion summer 2019)
6. Strategic Planning Process (Second 5 year increment plan developed Fall 2017)
7. Self Sufficiency/Entrepreneurship Institute (expansion of operation to include venture capital Fall 2017)
8. Resident Outcomes Statistical Modeling (full utilization, using programming to determine outcomes, studying results of AHA actions - winter 2018)
9. Vehicle Replacement (trade in and replacement of Year 2 vehicles)
10. Tiered Units (5 scattered site homes, 5 apartments, if feasible 3 tier 4 units)
11. Marketing Activity (continuation of all campaigns, emphasis on self-sufficiency).

As always, the City will continue to review partnership opportunities to further enhance the AHA's affordable housing opportunities. For example, during prior program years, the City partnered with the Northern Lights Development Corporation on a Blight Reduction Program (funded through IHDA) wherein blighted properties were demolished and the future intent is to provide affordable rental housing opportunities. Additionally, the City always endeavors to improve the quality of life for the AHA's residents, and includes the AHA's housing projects in its neighborhood needs assessment efforts. For example, the City has provided local funding support for programs that will provide opportunities such as job training and employment for at-risk youth who live within the housing projects.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The AHA's Strategic Plan specifically addresses the need to provide a homeownership program by partnering with local banks and other lending institutions to provide refinancing and cost control assistance. Under this Plan, the AHA expresses the intent to provide down payment assistance, credit repair, lending assistance and foreclosure prevention. Additionally, the AHA recognizes the benefit for assisting current homeowners in preventing foreclosure. The AHA's Plan also includes their goals regarding support services for rental assistance, credit, education and family services support. The plan

recognizes the need to follow-up with residents to further ensure their self-sufficiency.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Aurora Housing Authority has been designated as “troubled”. To date, recovery plan efforts are underway between the AHA and HUD to resolve the issues related to the AHA’s troubled status. The AHA recently filled its Executive Director position. The City’s Chief Community Services Officer has met with the new Executive Director and Board President, and it is the City’s understanding that steps are in place to clear the troubled status designation. At this point, there is not a need for the City to provide financial assistance. The City will continue to be available for technical assistance to evaluate options for improving operations, resolving non-compliance problems, and identifying other housing-related needs and issues. When feasible, similar to the City’s prior housing development projects with the AHA, the City will seek to reach out to the AHA to partner on future projects. In its continued assessment of the AHA’s needs and proposed projects, the City will also review any proposed projects to determine whether or not its HUD funds can be used to assist the AHA in accomplishing its housing goals and objectives.

Discussion

All information regarding AHA’s Strategic Plan taken from: www.auroraha.com/wp-content/uploads/2014/07/Aurora-Housing-Authority-Strategic-Plan-2014-2018.pdf

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Aurora will continue to be an active participant in the Kane County Continuum of Care and will implement the actions and activities outlined in the Homeless Strategy as written in this Consolidated Plan.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Aurora will continue to be an active participant in the Kane County Continuum of Care and will implement the actions and activities outlined in the Continuum's Homeless Strategy. Also, under PY 2018, the City has allocated funding mitigate or prevent homelessness by providing assistance to Breaking Free's Homeless Substance Abuse Treatment Services, Mutual Ground's Emergency Shelter (domestic violence), and Hesed House's SEEDs Homeless Services Management. The City has also received a first-time allocation of ESG funds in this 2018 Program year. The City intends to use the CoC's written standards as a template for creating its own guidelines for the provision of ESG assistance for its 2018 allocation. The City's guidelines will conform to HUD's ESG Regulatory Requirements.

Addressing the emergency shelter and transitional housing needs of homeless persons

In addition to its CoC Partnership, the City allocated funding to mitigate or prevent homelessness by providing assistance to Mutual Ground, Breaking Free and the Hesed House SEEDs Homeless Services.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Special needs persons will benefit from 2018 funding allocated to a number of social service agencies

and programs. These include:

- Increase youth services assistance to Family Focus Childcare and the VNA for dental care program.
- Supportive services for the elderly and disabled - assistance to Senior Services Associates
- Employment training to unemployed persons - assistance to National Latino Education Institute
- Increase and improve quality of public facilities and homes including funding to Hesed House and Mutual Ground as well as funding to Rebuilding Together Aurora for home accessibility repairs.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Although not funded under the 2018 Program Year, AID is the primary provider of supportive housing services for individuals returning from mental health facilities and nursing homes. AID, Senior Services, Day One Network and the Department of Rehabilitation Services collaborate to assist those capable of leaving nursing homes, to live with support services in their own homes and apartments. AID is a long-standing HOME CHDO partner and partner in the Aurora Arts Centre Project. AID will provide supportive services for residents living at Coulter Courts.

Discussion

Under the 2018 Program Year, the City received a first-time allocation of ESG funds. The City intends to use the CoC's written standards as a template for creating its own guidelines for the provision of ESG assistance for its 2018 allocation. The City's guidelines will conform to HUD's ESG Regulatory Requirements.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

In analyzing barriers to affordable housing development, city staff identified five currently known barriers:

- Market conditions that, in the past, placed upward pressure on housing prices, but over the last 5 years, economic conditions have slowed or halted new development and severely restricted the availability of financial assistance especially to low income households.
- Local processes for land use planning and zoning
- Building codes
- High demand for housing and high cost of housing
- Community resistance to affordable housing including Fair housing issues.

In 2018, the City will continue its first-time homebuyers assistance program, Choose Aurora, which provides forgivable loans in the amount of \$3,000 (to City homebuyers outside of the City's NRSA) or \$5,000 (for NRSA homebuyers).

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Continue to coordinate housing development within the City across various departments that relate to the development and maintenance of the housing stock.

The City will continue to fund its housing rehabilitation programs which focus on address code compliance and safety upgrades.

All HOME activities will address accessibility to affordable housing through HOME regulations regarding High and Low HOME rents and long term affordability periods.

Discussion:

Similar to other cities across the country, Aurora continues to balance its housing policies objectives and

resources across several competing public goals.

Given the number of existing housing units (47% of the owner-occupied units and 60% of the renter occupied units) built before 1980, the City continues to place an emphasis on the preservation of the existing housing stock within its housing policies. Parallel to this priority, the City has also tried to redevelop older deteriorated areas of the city, especially along the riverfront and near the downtown, with a mixture of new residential and commercial and public uses.

AP-85 Other Actions – 91.220(k)

Introduction:

The following actions will be taken to address obstacles to meet underserved needs; to foster and maintain affordable housing; to reduce lead-based paint hazards; to reduce the number of poverty-level families; to develop institutional structure; to enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

Given the data and input, the City set a high need priority for income levels from 0% - 80% of medium family income, for both renters and owners, and for large-related, small-related, and elderly housing. The City is unable to address all of these high needs with resources available so focus will occur on the following prioritized needs over the next five years:

Existing housing in need of repairs or renovations to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards, and owned and occupied by income-eligible households whose housing costs exceed 30% of income.

Households between 40% and 80% of median income purchase their own homes and contribute to the stabilization of neighborhoods within the City.

Income-eligible renter households with housing cost burdens greater than 30% of their income, living in housing in need of repairs or renovation in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards.

Very low-income households at risk of becoming homeless or households who are currently homeless and very mobile within Aurora, and who are proportionately larger users of human services, health care, or emergency services.

Actions planned to foster and maintain affordable housing

The cost of housing negatively affects low-income residents and their ability to afford and maintain their homes. The City of Aurora is committed to improving the quality of its existing housing stock. The housing activities funded for this program year address the rehabilitation of housing, initiatives to house special needs populations, encourage homeownership, reduce lead-based paint hazards and

improve/provide suitable rental housing, especially for people with special needs.

Actions planned to reduce lead-based paint hazards

As part of the environmental review process, all CDBG direct housing assistance activities address lead based paint hazards. Also, all HOME direct housing assistance activities address lead based paint hazards through the City of Aurora Property and Rehabilitation Standards, which cites compliance with Title X Lead Based Paint Regulations and the Environmental Protection Agency Renovation, Repair and Painting Rule (RRP).

The City has and will continue to work diligently to ensure lead safety when assisting units with lead hazards. All aspects of the lead regulations have been incorporated into the City's program requirements to ensure occupant safety in homes and public facilities which house children (shelters). The City's CDBG funded housing rehabilitation and down payment assistance programs fall under the \$5,000 threshold and presumptions of lead are made. Clients are provided with the EPA's *Protect Your Family from Lead in Your Home* and required to sign that they have received the brochure. Housing rehabilitation contractors are required to have their RRP certification. In 2018, the City's has funded Mutual Ground, a domestic shelter and advised the subrecipient of the requirements, which include a lead test, risk assessment, and abatement (as necessary). The City will communicate with subrecipients and monitor all projects to ensure that lead-based paint regulations are implemented correctly in rehabilitation projects. Applicable lead-based paint regulations will be followed for all rehabilitation projects in which the building (housing and public facilities which are child-occupied) was constructed before 1978, including required testing for clearance.

Actions planned to reduce the number of poverty-level families

- Promote and assist with economic development through job creations such as providing assistance to small businesses in neighborhoods, including the NRSA, and micro-business loans.
- Assist families with prerequisites to employment by removing barriers to obtaining employment by funding programs/agencies with a record of demonstrated success in running programs such as job training and job-readiness.
- Focus efforts to assist under-served populations in their efforts to become self-sufficient.
- Improve, maintain, and increase the amount of affordable housing units within the City by undertaking housing rehabilitation, reconversion and homebuyer assistance programs.

Actions planned to develop institutional structure

The City will continue to develop partnerships that leverage other agencies and organizations, both public and private. We continue to seek out competitive and or stimulau-based funding sources to

support Consolidated Plan objectives. Through CDBG, HOME, and ESG, the City collaborates with partners to deliver resources effectively. The City will, for example:

Continue its active role in the Continuum of Care for Kane County, which provides housing and other forms of shelter for the homeless.

Continue to work with service and housing providers to address the housing needs of the lower- income, mentally ill, and other special needs populations.

Collaborate with housing providers to extend the reach and effectiveness of housing activities.

Continue to work with area stakeholder organizations (including private lenders, the public schools, Waubensee Community College, Aurora University, the City's three Chambers of Commerce, physical and health care institutions, youth services providers and others) in order to develop and implement effective service and revitalization strategies, including the Neighborhood Plans and the Neighborhood Revitalization Strategy Area.

Continue to partner with a number of agencies, businesses, and foundations that have pledged their own money to further the housing and community development objectives outlined in this Plan.

At the current level of activity, this structure is complete and effective. It may not be adequate to accommodate significant increases in the level of participation without additional funds to allow for amplification of the process. The City of Aurora will, of course, continue to search for opportunities to expand the existing structure and make it more effective. The opportunities, given the limited availability of and competition for resources, however, are limited.

Actions planned to enhance coordination between public and private housing and social service agencies

Throughout the implementation of its Consolidated Plan, the City of Aurora has coordinated with local organizations and entities, including the following:

Kane County Continuum of Care, Waubensee Community College, Aurora Housing Authority, Ward Committees, Neighborhood and Homeowners' Associations, business groups, Kane County Healthy Places Coalition, Fox Valley United Way, and Family Focus Aurora.

This list will likely expand in the future as the City seeks additional partners with each program or activities that is funded to address the goals and objectives of this Plan. The NRD will continue to consult with housing advocates, social service agencies and other entities on a variety of issues by attending and participating in local and regional meetings/planning groups that deal with issues that affect the City's

most vulnerable populations.

Discussion:

See above.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

The following Program Specific Requirements provide information for the City's expected Program Income for 2018 as well as the percentage of CDBG, Section 108, and HOME funds that will be used to benefit low and moderate income residents and to meet the needs of the City's most vulnerable and underserved populations. This Section also reports on Section 108 funds for the City's Aurora Arts Centre economic development project which received a \$3M Loan in December 2017. This project was also funded with an additional \$700,000 in CDBG funds and is located within the City's NRSA. Once completed the project will result in will 74 FTE jobs related the projects restaurant and performing arts school.

Once the City retains consultant services for its Section 108 underwriting activities, the remaining \$3M of its Section 108 Loan Guarantee will be made available for eligible activities related to its economic development, affordable housing, and community facility loan pools.

The City does not anticipate that it will have any urgent need activities. The City's CDBG subrecipient partners are required to meet HUD's minimum 70% LMI benefit. The City's estimated LMI benefit for its 2018 Program Year is based upon an average of the City's prior completed program years (2015-2017).

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	3,000,000
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	3,000,000

Other CDBG Requirements

1. The amount of urgent need activities 0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. 98.67%

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

HOME allows virtually any form of financial assistance to be provided for eligible projects and to eligible beneficiaries. The participating jurisdiction (PJ), City of Aurora, determines what forms of assistance it will provide. Some forms of assistance will require legal instruments for implementation. HOME regulations list the following forms of assistance as eligible:

- **Interest or non-interest bearing loans or advances:** These loans are amortizing loans, with or without accruing interest. Repayment is expected on a regular basis so that over a fixed period of time all of the principal and interest is repaid. The term of the loan may vary and the property or some other assets are used as collateral.
- **Deferred Loans (forgivable or repayable):** These loans are not fully amortized. Instead, some, or even all, principal and interest payments are deferred until some point in the future. Deferred loans can be structured in a variety of ways and terms may differ greatly. Deferred payment loans use the property or some other form of collateral as security for repayment.
- **Grants:** Grants are provided with no requirement or expectation of repayment. They require no liens on the property or other assets.
- **Interest Subsidies:** This is usually an up-front discounted payment to a private lender in exchange for a lower interest rate on a loan.
- **Equity Investments:** An investment made in return for a share of ownership. Under this form of subsidy, the PJ acquires a financial stake in the assisted property and is paid a monetary return on the investment if money is left after expenses and loans are paid.
- **Loan Guarantees and Loan Guarantee Accounts:** HOME funds may be pledged to guarantee loans or to capitalize a loan guarantee account. A loan guarantee or loan guarantee account ensures

payment of a loan in case of default.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Not applicable as the City's HOME funds are targeted for the development of affordable rental housing units for residents whose incomes are between 40-80% AMI.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

HOME assistance to development projects will be given in the form of an amortized, deferred loan or grant. Funding Agreements will establish a minimum affordability period that is typically not less than 5 years but will at minimum meet the HOME guidelines for new construction. Before monies are released an agreement will be signed between City and the recipient. The agreement will satisfy Federal requirements and establishes the terms under which the funding is being provided. Funds will not be released until a funding agreement is executed.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Funds may be used to refinance existing debt on funded rehabilitation properties. The refinancing must be necessary to reduce the owner's overall housing costs to make the housing more affordable.

Emergency Solutions Grant (ESG) Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

The Kane County Continuum of Care (CoC) has written standards for providing assistance under the Emergency Solutions Grant. As an active member of the CoC and first time recipient of ESG funds, the City plans to use the CoC's written standards as a template for creating its own guidelines for the provision of ESG assistance for its 2018 allocation. Because the Kane County CoC receives non-entitlement ESG funds, where applicable, the City's ESG Program will follow HUD's ESG entitlement community requirements. To comply with HUD's ESG entitlement community requirements and to ensure its ESG program administration requirements, the City's written standards will include policies and procedures for: 1) admission, diversion, referral and discharge by emergency shelters,

2) assessing, prioritizing, and reassessing individuals' and families' needs, 3) coordination among emergency shelter providers, and 4) determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which ones will receive rapid re-housing assistance. The written standards will also include standards for: 1) evaluating individuals' and families' ESG eligibility, 2) targeting and providing essential services related to street outreach, 3) determining the percentage or amount of rent and utilities costs each program participant must pay, 4) determining how long a particular program participant will be provided with rental assistance, and 5) determining the type, amount, and duration of housing stabilization and/or relocation services.

Assistance to households under the ESG Program is intended to have a meaningful impact on homelessness and housing stability for participating households. ESG provides a variety of supports to achieve the following three assistive elements: preventing people from becoming homeless; diverting people into housing if they are currently applying for shelter; and helping people who become homeless to quickly return to permanent housing. ESG consists of a Homelessness Prevention (HP) component for families and individuals who are at Imminent Risk of Homelessness, Homeless under other Federal Statutes or Fleeing/attempting to flee domestic violence, or those who meet HUD's at risk of homeless criteria. A Rapid Rehousing (RR) component provides assistance to persons who are Literally Homeless and or Fleeing/ attempting to flee domestic violence. Eligible households under HP will be those with extremely low incomes, (below 30% of the Area Median Income (AMI)) who lack resources and support networks for housing and who are homeless or at risk of homelessness. Eligible households under RR include those who are literally homeless.

The CoC's written standards defining the policies and procedures governing each component of the ESG assistance programs are attached as Appendix B. Of note, the Kane County CoC receives ESG non-entitlement funds.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The City of Aurora is part of the Kane County Continuum of Care. The Continuum has adopted a "No Wrong Door" approach to Coordinated Entry. Persons seeking assistance are able to gain access to an array of housing interventions and services through multiple access points dispersed throughout the Continuum's geographic area. Each entry point utilizes the same assessment approach, employing standardized decision-making protocols for prioritizing services for people who may be experiencing homelessness or are at risk of homelessness. Specialized Access Points have been established to meet the unique needs of people who are or have been a victim of domestic violence, dating violence, sexual assault or stalking.

The first phase of the Coordinated Entry process involves pre-screening for diversion or prevention. This step ensures people receive services that are the most appropriate for them, and

wait lists for permanent housing are minimized. Information gathered during the pre-screening is used to evaluate housing needs, detect potential housing barriers and identify families and individuals who can be diverted from homelessness. The diversion strategy prevents homelessness by helping people identify alternative housing options and connecting them to services and financial assistance to help them return to permanent housing. People who are literally homeless are offered a referral to a local emergency shelter. People fleeing domestic violence are offered a referral to a local domestic violence provider.

If pre-screen results indicate that a family or individual is appropriate for a housing placement, an assessment of the family or individual must be conducted within 14 days of enrollment into the Coordinated Entry program, utilizing the Vulnerability Index – Service Prioritization Decision Assistance Tool (VI-SPDAT) to prioritize for services. Based on their vulnerability score – low, medium or high – an individual/family is prioritized into categories with corresponding solutions that will connect to mainstream affordable housing, transitional housing, rapid rehousing or permanent supportive housing options.

Entering data into HMIS is a requirement for all coordinated entry access points. This ensures that agencies collaborate effectively and that the homeless population can access services efficiently and quickly. The housing prioritization list will be maintained within HMIS and programs must adhere to HMIS reporting standards set by the Kane County CoC.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

On May 31, 2018, the City announced to the CoC Board its intention to make funds available to community and faith-based organizations through a procurement process. In future years it is likely that the ESG allocation process will closely mirror existing processes for CDBG and HOME funding. As a new ESG Program grantee, the City anticipates an approximate 3-6 month for the development an implementation (which includes the Citizen Participation Process for awarding ESG funds) for its ESG program. The City's goal is open its ESG funding cycle in January 2019. Below are the deliverables and timeline for the City's ESG program development. Following the receipt of all deliverables, the City will launch the selection process for its ESG (sub)recipients.

Deliverables

1. Review the City's Citizen Participation Process to ensure that it meets HUD's ESG program requirements.
2. Develop a process for making subawards. This will include the development of an Application for Funding, Application Guidelines, and Scoring Criteria.

3. Develop an Emergency Solutions Grant Program Guidebook that outlines the basic requirements of the program for organizations awarded ESG funds by the City.

4. Develop all subrecipient forms required for the program including, but not limited to, the following:

a. Homeless Documentation Forms by Activity (includes Third Party and Self-Declarations)

b. Chronic Homeless Documentation Forms

c. Unit Habitability Standards Checklist

d. Income Eligibility Forms

e. Household Recertification Forms

f. Rent Reasonableness Checklist and Certification

5. Develop performance standards for evaluating ESG activities carried out by subrecipients including monitoring procedures and related checklists needed to document compliance with HUD and the City's contract requirements.

6. Review the written standards as adopted by the Kane County CoC and the development of any required additional language to be added specific to the ESG Program for the City to adopt.

7. Draft an ESG agreement to obligate grant funds

8. Create a rental agreement for ESG funded Rapid Rehousing activities

9. Write a lease addendum to comply with the Violence Against Women Act (VAWA)

Timeline (Estimate)

Mid-November 2018 - all policies, forms and documents required for the City's ESG subaward application process will be available for use. Exact delivery date to be negotiated with the City to match application process deadlines.

Mid-December 2018 - all deliverables completed. Exact delivery date to be negotiated with the City to match subawards process deadlines.

January 2019 – Begin application cycle.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City is in the process of updating its citizen participation policies to require that the panel that allocates ESG funding to local projects includes at least one homeless or formerly homeless person. The City's ESG Citizen Participation Plan, now under development, will require that locations where copies of completed, proposed Consolidated Plans and Annual Action Plans may be examined will include local homeless service organizations.

As part of its public participation process, the City will address the requirements of 24 CFR 576.405(a) by reaching out to its local homeless service organizations to assess whether or not their board of directors membership includes at least one homeless individual or formerly homeless individual. If this HUD ESG criterion is not met by any of the City's local homeless service organizations, the City will instead follow 24 CFR 576.405(b) by working with its homeless service organizations to develop and implement a plan to consult with homeless or formerly homeless individuals in its ESG policy and decision-making regarding projects/activities that receive ESG funding. It is expected that the City will work closely with its homeless service agencies to develop this plan should it be required. It is anticipated that this outreach process will begin in January 2019, following the completion of the City's ESG program development effort.

5. Describe performance standards for evaluating ESG.

The CoC has developed evaluation criteria that allows it to analyze the degree to which individual projects improve the CoC's ability to respond to homelessness within the geographic region. It is the intent of the City of Aurora to adopt similar performance metrics to evaluate its ESG recipients. This brings to focus viewing the local homeless response as a coordinated system of homeless assistance options as opposed to homeless assistance programs and funding sources that operate independently in a community. The performance measures will examine and evaluate ESG funded emergency shelter, prevention and rapid rehousing services projects using the following criteria:

1. The length of time individuals and families remain homeless.
2. The extent to which individuals and families who exit homelessness to permanent housing destinations return to homelessness.
3. Reduction in the number of homeless individuals and families.
4. Success at reducing the number of individuals and families who become homeless for the first time. To ensure the data required to evaluate agency performance is available, all ESG programs (except domestic violence providers) must adhere to HMIS reporting standards set by the CoC.

The minimum amount of HOME funds that must be invested in any project is \$1,000 for every assisted unit in the project. The minimum relates only to HOME funds, not to any other funds, including match that might be used for project costs. The minimum amount does not apply to tenant based rental assistance. City of Aurora HOME Policies and Procedures: October 2013.

If a project has multiple funding sources, an evaluation must be made to ensure that the HOME funds, in combination with all other funds, do not exceed what is necessary to provide affordable housing. This is generally referred to as the "subsidy layering review". PJs must conduct a subsidy layering review prior to the award of any funds. The City will evaluate the reasonableness and need for the requested assistance by analyzing pro-formas for cash flow, debt-coverage ratios, and the appropriateness of fees charges with and without the HOME funds.

Match Requirements:

The HOME program requires participating jurisdictions to have a match of at least 25%. Sources of match can be cash, donated land or real property, infrastructures improvements, bonds issued by state or local government, donated materials, equipment, or professional services, sweat equity, and the value of foregone taxes.