

# LLA

## City of Aurora, Illinois Liquor License Application



Incomplete applications will not be accepted.

Completed applications may be submitted to: City Clerk's Office, 44 E. Downer Pl. Aurora, IL 60505

### Official Use Only

License Year: 2021 to 2022

License Class CL.C - Brewery/Brewpub  
Taproom

☒ Date Application Received partial: 2/2/20 - 10/4/21 app.

☒ Application Fee \$250.00

☒ Business Information Sheet (BIS)

☒ Proof of Background Check for all Managers/Assistant Managers/Owners (receipts) Cervantes ✓  
Pinal Patel ✓

☒ Probationary Agreement/Management Plan

☒ Certificate of Good Standing from the State of Illinois

☒ Certificate of Registration (Food & Beverage Tax) OK per charter.

☐ Certificate of Occupancy

☒ Copy of Articles of Incorporation

☒ Floor Plan/Seating Chart—Drawn to scale, must include outdoor seating (If applicable)

☒ Copy of Lease/Proof of Ownership—Lease Expiration 5/4/2025 + 2.5-year extension option

☐ Copy of Dram Shop Insurance Policy (Liquor Liability Insurance)- Insurance Expiration \_\_\_\_\_

☒ Copy of County Health Department Certificate Letter Reid

☐ Copy of State Liquor License (after local license is granted)

☒ Copy of State-Certified Beverage Alcohol Sellers/Servers Training Certificates for all employees (BASSET)

☒ Copy of Menu (if applicable) coop w/ Gilleman's Grocery

☒ Appropriate Liquor Classification and Endorsement (endorsement if applicable)

☐ Other: \_\_\_\_\_

☐ Notes: \_\_\_\_\_

☐ Approved

☐ Denied

Date Approved/Denied: \_\_\_\_\_

Date Issued: \_\_\_\_\_

Mayor

Liquor Control Commissioner

## Applicant Information

Applicant/Corporate Name: Foreign exchange brewing Inc  
d/b/a Name: Foreign exchange brewing company  
Business Address: 110 Cross St Aurora IL-60506  
Street Zip  
Business Telephone #: 630-930-8980 Fax #: \_\_\_\_\_  
Owner or Manager Contact: Pinal Patel  
Telephone #: 630-930-8980 Email Address: managers @ foreign exchange brewing.com  
Additional Business Contact: Richard Cervantes  
Telephone #: 805-404-5832 Email Address: Ricky @ foreign exchange brewing .com

## Business Location Information

Business Name (dba): Foreign exchange brewing company  
Business Address: 110 Cross St Aurora IL-60506 Kane  
Street Zip County  
Telephone #: 630-930-8980  
Website: www.foreignexchangebrewing.com

Are the premises owned or leased? Proof of ownership or lease must be provided.

☐ I hereby certify that the property is owned by the applicant.

☒ I hereby certify that the property is leased from the landlord.

☐ I hereby certify that the property is managed via an operating or management agreement.

Landlord name: JH Real Estate Partners, LLC

Address: 105 East Galena Blvd Suite-804 Aurora IL-60505  
Street City State Zip

Telephone #: ~~630-930~~ 312-560-4654 Email Address: Harish.ananthapadmanabhan@jhrealestatepartnersLLC.com

Total Building Square Footage	Entertainment Area (Square Footage)	Kitchen Area (Square Footage)	Total Number of Seats (Booths & Tables)	Number of Parking Spaces
3700	—	500	60	15



## Previous Liquor Licenses

Starting with the most recent, list any businesses owned or operated by the applicant within the past ten (10) years that held a liquor license. If more space is needed, please attach an additional sheet of paper.

Business Name: \_\_\_\_\_ N/A \_\_\_\_\_

Business Address: \_\_\_\_\_  
Street State Zip

Business Telephone#: \_\_\_\_\_ Date Held: (mm/yy) \_\_\_\_\_

Liquor License Number and State: \_\_\_\_\_

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
Street State Zip

Business Telephone#: \_\_\_\_\_ Date Held: (mm/yy) \_\_\_\_\_

Liquor License Number and State: \_\_\_\_\_

Have any liquor licenses issued to the applicant been revoked or suspended?  
If yes, please fill out the area below.

☐ Yes

☒ No

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
Street State Zip

Date Held (mm/yy): \_\_\_\_\_ Date of Revocation (mm/yy): \_\_\_\_\_

Reason for Revocation: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Has any director, officer, shareholder, or any of your managers ever held a liquor license that was revoked by the local, state or federal government? ☐ Yes ☒ No If yes, please answer the questions below.

Name: \_\_\_\_\_ Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
Street State Zip

Date Held (mm/yy): \_\_\_\_\_ Date of Revocation (mm/yy): \_\_\_\_\_

Position with Business: \_\_\_\_\_

Reason for Revocation: \_\_\_\_\_

\_\_\_\_\_

Has any director, officer, shareholder, or any of your managers ever been denied a liquor license from any jurisdiction? ☐ Yes ☒ No If yes, please answer the questions below.

Name: \_\_\_\_\_

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
Street State Zip

Position Held: \_\_\_\_\_ Date of Denial (mm/yy): \_\_\_\_\_

Reason for Denial: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### Business Organization Information

**Type of Business:**

☐ Sole Proprietor ☐ Partnership ☒ Corporation ☐ LLC ☐ Non-Profit ☐ Government

For LLC, Corporation, Non-Profit Organizations, or Government proceed to Question C.

A. Name of Sole Proprietor: \_\_\_\_\_

d/b/a: \_\_\_\_\_

B. Name (first and last) of all Partners: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

C. Corporation Name: Foreign exchange brewing INC

Corporate Registered Agent / Contact: Pinal Patel

Corporate Headquarters Address: \_\_\_\_\_

Corporate Telephone #: 630-930-8980

Corporate Contact Name and Cell #: Pinal Patel \_\_\_\_\_

State of Incorporation: Illinois Date of Incorporation: 11/25/2019



## Owner / Manager Information

Sole Proprietors or Partnerships - All Owner(s) and All Partner(s)  
Corporations - All Director(s) and Officer(s)  
All Managers and Assistant Managers

Name: Patel Pinal P  
Last First Middle

Position with Business: President % of Ownership 67

Email Address: pinal@foreignexchangebrewing.com

Date of Birth: [REDACTED]  
MO Day YYYY

Home Address: [REDACTED]  
Street City State Zip

Home Telephone#: [REDACTED] Cell Phone #: [REDACTED]

Name: Rick Cervantes Richard M  
Last First Middle

Position with Business: Secretary % of Ownership 33

Email Address: Ricky@foreignexchangebrewing.com

Date of Birth: [REDACTED]  
MO Day YYYY

Home Address: [REDACTED]  
Street City State Zip

Home Telephone#: [REDACTED] Cell Phone #: [REDACTED]

Name: \_\_\_\_\_  
Last First Middle

Position with Business: \_\_\_\_\_ % of Ownership \_\_\_\_\_

Email Address: \_\_\_\_\_

Date of Birth: \_\_\_\_\_  
MO Day YYYY

Home Address: \_\_\_\_\_  
Street City State Zip

Home Telephone#: \_\_\_\_\_ Cell Phone #: \_\_\_\_\_

## Owner / Manager Information (cont'd)

Sole Proprietors or Partnerships - All Owner(s) and All Partner(s)  
Corporations - All Director(s) and Officer(s)  
All Managers and Assistant Managers

Name: \_\_\_\_\_  
Last First Middle

Position with Business: \_\_\_\_\_ % of Ownership \_\_\_\_\_

Email Address: \_\_\_\_\_

Date of Birth: \_\_\_\_\_  
MO Day YYYY

Home Address: \_\_\_\_\_  
Street City State Zip

Home Telephone#: \_\_\_\_\_ Cell Phone #: \_\_\_\_\_

Name: \_\_\_\_\_  
Last First Middle

Position with Business: \_\_\_\_\_ % of Ownership \_\_\_\_\_

Email Address: \_\_\_\_\_

Date of Birth: \_\_\_\_\_  
MO Day YYYY

Home Address: \_\_\_\_\_  
Street City State Zip

Home Telephone#: \_\_\_\_\_ Cell Phone #: \_\_\_\_\_

Name: \_\_\_\_\_  
Last First Middle

Position with Business: \_\_\_\_\_ % of Ownership \_\_\_\_\_

Email Address: \_\_\_\_\_

Date of Birth: \_\_\_\_\_  
MO Day YYYY

Home Address: \_\_\_\_\_  
Street City State Zip

Home Telephone#: \_\_\_\_\_ Cell Phone #: \_\_\_\_\_



## Corporation Information

1.	<p>Has any director, officer, shareholder, or any of your managers ever been found guilty of a felony or misdemeanor, including but not limited to any gambling offense and any alcohol related traffic offense?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If Yes, explain the charge, date, city, and state where the charge was brought, and the disposition. This must include all findings of guilt, whether subsequently vacated or not, whether expunged or not, and shall specifically include any orders of court supervision, whether satisfactorily completed or not.</p>
2.	<p>How long has the corporation been in the business of the retail sale of alcohol (years/months)?</p> <p><u>3 months</u></p>
3.	<p>Does the director, officer, shareholder, or any of your managers hold any law enforcement office?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, state the person's name, title and agency. _____</p>
4.	<p>Other than when making an initial application for a license, has your corporation or any predecessor to or subsidiary or parent of your corporation ever been subject to charges, hearing, or investigation by any jurisdiction with respect to a liquor license? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If Yes, list each and every charge, the date of the charge, the eventual disposition of the charge, and the municipality or other jurisdiction bringing the charge. If no charges were filed, state the reason(s) for the investigation or hearing.</p>
5.	<p>Is the premises within 100 Feet of a church, grade school, middle school, alternative school or high school, hospital, or home for the indigent? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, attach a document that answers the following:</p> <ul style="list-style-type: none"> <li>• The type of activity to be conducted at the premises proposed to be licensed and the days and times during which such activity will take place;</li> <li>• The size of the applicant's business and the affected establishment;</li> <li>• The availability of adequate parking for patrons of both the applicant's business and the affected establishment;</li> <li>• Whether the applicant is seeking a license to permit consumption of liquor on premises or the sale of packaged goods;</li> <li>• Any police activity;</li> <li>• Relevant geography and location of applicant's business;</li> <li>• The legal nature and history of applicant;</li> <li>• Measures the applicant proposes to implement to maintain quiet and security in conjunction with the establishment.</li> </ul>
6.	<p>Do you have security cameras on the premises? <u>[REDACTED]</u></p> <p>If yes, are they: <u>[REDACTED]</u></p> <p>If yes, please provide a brief description of the location(s): <u>TBD</u></p>



# PA

## City of Aurora Probationary Agreement / Management Plan

**FORM REQUIRED: City of Aurora Liquor Ordinance SEC. 6-5. Application for License.**

Upon approval of the application and issuance of any new liquor license, the licensee will be placed on a one-year probation period. During said probationary period, if the licensee violates any section of the liquor ordinance, as specified in a probationary agreement that includes a management plan put forth to the licensee prior to the issuance of a license. A liquor hearing will be called and the license may be revoked immediately, with no progressive discipline required.

### Probationary Agreement / Management Plan

Applicant /Corporate Name Foreign Exchange Brewing Inc

d/b/a Name Foreign Exchange Brewing company

Location Address  
110 Cross St Aurora IL- 60506

### Planned Days / Hours of Operation

<input checked="" type="checkbox"/> SUNDAY	FROM	10:00	A.M./P.M.	TO	10:00	A.M./P.M.
<input checked="" type="checkbox"/> MONDAY	FROM	10:00	A.M./P.M.	TO	10:00	A.M./P.M.
<input checked="" type="checkbox"/> TUESDAY	FROM	10:00	A.M./P.M.	TO	10:00	A.M./P.M.
<input checked="" type="checkbox"/> WEDNESDAY	FROM	10:00	A.M./P.M.	TO	10:00	A.M./P.M.
<input checked="" type="checkbox"/> THURSDAY	FROM	10:00	A.M./P.M.	TO	10:00	A.M./P.M.
<input checked="" type="checkbox"/> FRIDAY	FROM	10:00	A.M./P.M.	TO	12:00	A.M./P.M.
<input checked="" type="checkbox"/> SATURDAY	FROM	10:00	A.M./P.M.	TO	12:00	A.M./P.M.

### Entertainment

Entertainment will be held on the premises. Yes ☐ No ☒

If yes, what type(s) of entertainment? (Please list)

Please specify the dates and times that entertainment is planned.

<input type="checkbox"/> SUNDAY	FROM		A.M./P.M.	TO		A.M./P.M.
<input type="checkbox"/> MONDAY	FROM		A.M./P.M.	TO		A.M./P.M.
<input type="checkbox"/> TUESDAY	FROM		A.M./P.M.	TO		A.M./P.M.
<input type="checkbox"/> WEDNESDAY	FROM		A.M./P.M.	TO		A.M./P.M.
<input type="checkbox"/> THURSDAY	FROM		A.M./P.M.	TO		A.M./P.M.
<input type="checkbox"/> FRIDAY	FROM		A.M./P.M.	TO		A.M./P.M.
<input type="checkbox"/> SATURDAY	FROM		A.M./P.M.	TO		A.M./P.M.



## Security

Will private security be hired for your business? Yes ☒ No ☐

If yes, will private security only be hired when entertainment is offered? Yes ☐ No ☐

Name of Private Security Company to be Hired:

Address of Private Security Company:

Contact Person: for Security Company:

Security Contact Person's Phone Number: (Please provide two options)


## Affidavit

By signing this Probationary Agreement, the undersigned affirms that he/she understands if the business is found to be in violation of any section of the liquor ordinance within the first year of operation, a Liquor Hearing may be held and the Liquor License issued may be revoked without progressive discipline being instituted.

  
\_\_\_\_\_  
President / Owner

  
\_\_\_\_\_  
Date

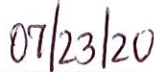
  
\_\_\_\_\_  
Secretary / Owner

  
\_\_\_\_\_  
Date


## Receipt

I have received a copy of the Probationary Agreement / Management Plan that has been signed by the President and Secretary / Owner(s) of the business. One copy of the agreement will be placed in the Licensee's file in the City Clerk's Office.

  
\_\_\_\_\_  
President / Owner

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Secretary / Owner

  
\_\_\_\_\_  
Date

\_\_\_\_\_  
City Clerk's Office

\_\_\_\_\_  
Date

# BIS

## City of Aurora, Illinois Business Information Sheet

### Business Entity Information

Type of Business ☐ Sole Proprietor ☐ Partnership ☐ LLC ☒ Corporation ☐ Non-Profit

#### Legal Name of Business

The exact "legal name" as it appears in the official business formation documentation.

Foreign Exchange Brewing Inc

For Sole Proprietors, this is the full name of the business owner as it appears on the Sole proprietor's government-issued photo ID.

#### "Doing Business As" Name

The exact "Doing Business As" (DBA) Name as it appears in the official business formation documentation.

Foreign Exchange Brewing Company

Sole Proprietors of Partnerships conducting business in Illinois under an assumed name (a name other than your own) are required to file for an Assumed Name Certificate with the Kane County Clerk's Office at 217 S. Batavia Avenue, Geneva, IL

☐ A State of Illinois File Number is **REQUIRED** for all (Illinois and Non-Illinois based) LPs, LLPs, LLCs, Corporations, and Non-Profit Corporations.

#### State of Illinois File #

Assigned by the Illinois Secretary of State at 69 W. Washington St., Suite 1240, 312.793-3380 or [www.cyberdriveillinois.com/departments/business\\_services/](http://www.cyberdriveillinois.com/departments/business_services/)

☐ A Federal Employer Identification Number (EIN) is **REQUIRED** for all business entity types except for Sole Proprietorships.

#### Employer Identification #

☐ An Account ID is **REQUIRED** for ALL business entity types that conduct business in the State of Illinois or with Illinois Customers.

#### (formerly IBT #) IDOR Account #

### Business Activity and Location

#### Business Activity

List your business activities, including all products and/or services to be offered.

Brewery with Taproom

#### Business Activity

List your business activities, including all products and/or services to be offered.

Square footage used by the business:

SQ. FT.

Number of employees at this site:

### Primary Contact Person

First Name Pinal Patel	Middle Name P	Last Name Patel	Jr./Sr.
Contact Phone # 630-930-8980	Fax #	E-Mail Address managers@foreignexchangebrewing.com	



## Affidavit

I, authorized agent(s) for the applicant, first being duly sworn, under oath, depose and state that the information contained in the foregoing application is true and correct.

I also understand that any untrue, inconsistent, incorrect or misleading information contained herein shall be cause for the refusal to grant, non-renewal, or the revocation of any license granted pursuant to this application.

I further state that I have read and understand all applicable laws, including, without limitation, statutory provisions set forth in the Illinois Liquor Control Act of 1934, 235 ILCS 5/1-1, et. seq. and Chapter 6 of the City of Aurora's Code of Ordinances and fully understand my obligations under said applicable local laws.

I swear and affirm not to violate any of the relevant laws of the United States, the State of Illinois or any of the ordinances of the City of Aurora in the conduct of the place of business described herein. I understand and agree that if I violate any local, state or federal laws regarding alcohol sales, consumption or possession, while I have a City of Aurora Liquor License, said license may be suspended or revoked.

I further authorize the City of Aurora or any of its designated agents to contact any agency or individual named or referred to in this Application for the purpose of verifying and/or clarifying any information I have provided herein.

I further certify that if any of the foregoing information changes during the course of the current license year, including, without limitation, changes to the status of the State liquor license, changes in the corporate stockholder shares or corporate officers, I will notify the City of Aurora, in writing, within seven (7) days of such change.

### Corporate/LLC Signatures

Pinal Patel

President

Richard M. Cervantes

Secretary

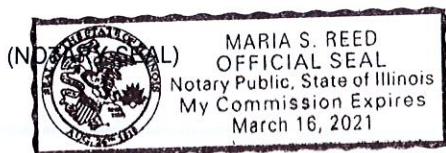
Treasurer

Signed and sworn to before me this 24<sup>th</sup> day of

July, 2020.

Maria S. Reed

Notary Public



### Individual/Partnership Signatures

Pinal Patel  
Signature

Richard M. Cervantes  
Signature

\_\_\_\_\_  
Signature

### Government Entity Signatures

\_\_\_\_\_  
Signature - Manager on Behalf of Government Entity

\_\_\_\_\_  
Signature - Governmental Officer