

LLA City of Aurora, Illinois Liquor License Application



Incomplete applications will not be accepted.
Completed applications may be submitted to: City Clerk's Office, 44 E. Downer Pl.

Date Application Received _____ License Year: 2017

New License: Change in Ownership/Corporation: Change in License Class:

APPLICANT INFORMATION

A. Corporation name: <u>Aldi Inc.</u>		Class Applying For: <u>G.</u>	
B. Business name: <u>Aldi</u>			
C. Type of Business: Sole Proprietor <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input checked="" type="checkbox"/> LLC <input type="checkbox"/> Non-Profit <input type="checkbox"/>			
C. Previous business name (if dba changed): <u>n/a</u>			
D. Business address (city, state, zip code): <u>2275 W. Galena Blvd</u>			
E. Business telephone: <u>630-897-2498</u>	F. Business website: <u>www.aldi.us</u>	G. Business Email: <u>Bat@aldi.us</u>	H. IL Tax ID Number <u>42-1051659</u>
I. Owner or Manager contact name for license: <u>Jonathan Sligar</u>			
J. Business telephone: <u>630-897-2498</u>		K. Email address: <u>Bat@aldi.us</u>	

BUSINESS ESTABLISHMENT LOCATION INFORMATION

A. Address applying for liquor license (exact street address): <u>2275 W. Galena Blvd, Aurora IL</u>		B. Zip code <u>60506</u>	C. # Parking Spaces <u>81</u>	
D. Total Building s.f. <u>19,054</u>	E. Entertainment Area <u>—</u>	F. Kitchen (Square Footage) <u>—</u>	G. Total Number of Seats <u>—</u>	H. Seating Area s.f. <u>—</u>
I. Number of bar seats <u>—</u>	J. Retail/public Area s.f. <u>12,106</u>	K. Cooler s.f. <u>1,965</u>	L. Dry Storage s.f. <u>3,159</u>	M. Sale Counter s.f. <u>401.25</u>

OFFICIAL USE ONLY

Approved Denied Date Approved/Denied: _____

_____ Date Issued: _____

Mayor, Liquor Control Commissioner

BAT52

Application Checklist

(Check items to confirm attached to application)	Applicant	Office Use Only
Application Fee (\$250.00)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Completed Liquor License Application (LLA) including: Financial Disclosure Form (FDF), Business Information Sheet (BIS) and Probationary Agreement/Management Plan (PA).	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Personal Information Form(s) (PIF) (one for each owner (5%+), officer and on-site manager.)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
* Certificate of Registration (Food & Beverage Tax— register with City of Aurora Revenue and Collections for liquor sales and payment of required bond) <i>Don't have yet - on order</i>	<input type="checkbox"/>	<input type="checkbox"/>
* Certificate of Occupancy (issued by City of Aurora Building and Permits) <i>Don't have yet</i>	<input type="checkbox"/>	<input type="checkbox"/>
Copy of the Articles of Incorporation	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Certificate of Good Standing from Illinois Secretary of State	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Floor Plan of Establishment (drawn to scale including all spaces including outdoor seating. Must include the layout of the establishment with tables, chairs, aisles, displays, cash register, bar, and lounge area with percentages and square footage of each space. Class O include all configurations.)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Copy of Lease/Proof of Ownership	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Proof of current Dram Shop Insurance Policy (Liquor Liability Insurance)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Copy of State Certified Beverage Alcohol Sellers/Servers Training Certificate (BASSET) (servers and managers dated within past three years)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Organization chart/ listing with Names, Title, Address and percentage of stock of Corporation officers and directors	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Copy of State Liquor License (if applicable) <i>Don't yet have for new address</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/> N/A
Copy of Menu (Class A, Class B, Class E, Class E-1, Class F, Class L)	<input checked="" type="checkbox"/>	<input type="checkbox"/> N/A
Copy of Health Department Certificate (for licensees who prepare and serve food for consumption on premises)	<input checked="" type="checkbox"/>	<input type="checkbox"/> N/A
Current list of names, dates of birth and home addresses of all members (Class B)	<input checked="" type="checkbox"/>	<input type="checkbox"/> N/A
Other:	<input type="checkbox"/>	<input type="checkbox"/>

TBD

TBD

Corporation / Premises Questions

1.	Is the corporation a subsidiary of a parent corporation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If, Yes state the parent corporation's name. <i>See attached explanation</i>
2.	Is the corporation obligated to pay a percentage of profits to a parent corporation or any person or entity not listed as a shareholder above? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, explain. _____
3.	How long has the corporation been in the business of the retail sale of alcohol (years/months)? <i>Since 2005</i>
4.	Do you have or intend to have a management contract with another entity or person, who is not a bona fide employee, to manage the licensed business for you? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, state the name and address of the manager or management company. A management company affidavit must accompany this application.
5.	If this is a new license application, what kind of business was previously conducted in the space in which you intend to operate your business? <i>Grocery retail</i>
6.	State the estimated value of goods, wares and merchandise to be used in the course of business. <i>\$233,387.57</i>
7.	Other than when making an initial application for a license, has your corporation or any predecessor to or subsidiary or parent of your corporation ever been subject to charges, hearing, or investigation by any jurisdiction with respect to a liquor license? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes, list each and every charge, the date of the charge, the eventual disposition of the charge, and the municipality or other jurisdiction bringing the charge. If no charges were filed, state the reason(s) for the investigation or hearing.
8.	Does the corporation own the property? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If No, please list the start and end date of the current lease. Start: <i>12/14/17</i> to End: <i>12/14/37</i> Name and full address of property owner: Name: <i>Hamilton Partners</i> Address: <i>300 Park Blvd. #201</i> <i>Hasca, IL 60143</i> Contact Information: <i>Greg Winner - 630-250-4896</i>
9.	Is the premises within 100 Feet of a church, grade school, middle school, alternative school or high school, hospital, or home for the indigent? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

10. If applicant is applying for a **Class B - Fraternal Society or Club Liquor License:**

A. How many dues-paying members do you have? _____ (Attach a listing of members' names and addresses.)

B. Does your club have the qualifications described in the Illinois Act and the City of Aurora Liquor Ordinance? Yes No

11. Does your establishment have entertainment? Yes No

If Yes, what form(s) of entertainment do you offer? Bands/Solo DJ Televised Sports

Other:

12. Do you employ security?

Yes No Only when entertainment is available.

If Yes, do you: Hire Private Security Use On - Staff Employees

Hire Off- Duty Police Officers Combination of the Above

If you hire a Private Security Company, please provide the company name and contact person.

13. Do you have security cameras on premise? Yes No

If yes, are they: Indoor Outdoor Both

If yes, please provide a brief description of the location(s): Entrances/exits, registers, office, backroom, all aisles

14. For Classes required to serve food for consumption on the licensed premises, please list the name of the chef(s) for the location applying for a liquor license:

n/a

15. For **Class G-1**, check the retail item categories available for purchase at the location:

Dairy Baked Goods Frozen Goods Groceries

Snack Foods Health Aids Beauty Aids

16. Has a *Personal Information Form* (PIF) been completed for each person holding (5%) or more stock in this corporation? n/a Yes No

Corporate Information

Name of Corporation/Partnership: <u>Aldi Inc.</u>		
Corporate Address: <u>1200 N. Kirk Rd. Batavia, IL 60510</u>		
Corporate Ph #: <u>630-879-8100</u>	Corporate Email: <u>Bat@aldi.us</u>	FEIN: <u>42-1051659</u>
Corporate Registered Agent/Contact: <u>Terry Pfortmiller</u>	Contact Ph #: <u>630-879-8100</u>	Contact Email: <u>Bat@aldi.us</u>
Date Corporation/Partnership was Organized:		<u>12/19/1975</u>
State Articles of Incorporation/Organization filed:		<u>Illinois</u>
Date Articles of Incorporation/Organization filed with Secretary of State:		<u>2/4/1980</u>
Date Certification of Incorporation/Organization was issued by Secretary of State:		<u>2/4/1980</u>
Has the corporation ever been dissolved either voluntary or involuntary? (If Yes, provide date of reinstatement) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Date of Reinstatement
Are there any amendments to Articles of Incorporation? (if yes, provide date filed) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Date Amendment Filed
What are the total shares of stock created by this Corporation? <u>0</u>		
List stockholders/partners with 5% or more in holdings (corporations with a long list, attach copy of list):		
Name, Title		Percentage of Stock
<u>Charles E. Youngstrom, President</u>		<u>0%</u>
<u>Terry E. Pfortmiller, Secretary/Treasurer</u>		<u>0%</u>
Explain any existing options & names of persons concerned as they pertain to purchase or acquire stock at a future date: <u>n/a</u>		
What is the objective of Corporation? <u>Sell groceries</u>		

BIS

City of Aurora, Illinois Business Information Sheet

Type of PRE-Application Liquor License Hotel / Motel License

Business Entity Information

Type of Business Sole Proprietor Partnership LLC Corporation Non-Profit

Legal Name of Business

The exact "legal name" as it appears in the official business formation documentation.

Aldi Inc

For Sole Proprietors, this is the full name of the business owner as it appears on the Sole proprietor's government-issued photo ID.

"Doing Business As" Name

The exact "Doing Business As" (DBA) Name as it appears in the official business formation documentation.

Aldi

Sole Proprietors of Partnerships conducting business in Illinois under an assumed name (a name other than your own) are required to file for an Assumed Name Certificate with the Kane County Clerk's Office at 217 S.

A State of Illinois File Number is **REQUIRED** for all (Illinois and Non-Illinois based) LPs, LLPs, LLCs, Corporations, and Non-Profit Corporations.

State of Illinois File #

[REDACTED]

Assigned by the Illinois Secretary of State at 69 W. Washington St., Suite 1240, 312.793-3380 or www.cyberdriveillinois.com/departments/business_services/

A Federal Employer Identification Number (EIN) is **REQUIRED** for all business entity types except for Sole Proprietorships.

Employer Identification # 42-1051659

An Account ID is **REQUIRED** for ALL business entity types that conduct business in the State of Illinois or with Illinois Customers.

(formerly IBT #) IDOR Account #

[REDACTED]

Business Activity and Location

Business Activity

List your business activities, including all products and/or services to be offered.

Selling groceries

Business Activity

List your business activities, including all products and/or services to be offered.

Square footage used by the business:

19,054

SQ. FT.

Number of employees at this site:

10

Primary Contact Person

First Name <u>Julie</u>	Middle Name <u>C.</u>	Last Name <u>Dobora</u>
Contact Phone # <u>630-761-2413</u>	Fax # <u>630-879-8152</u>	E-Mail Address <u>bat@aldi.us</u>

FDF

City of Aurora Financial Disclosure Form

FORM REQUIRED: Used to document the source of all money invested or spent to fund a new establishment, expand an existing establishment, or buy an existing business, when the business holds one of the following licenses; Liquor, Amusement, Hotel, or Day Care.

INSTRUCTIONS: Complete the four (4) parts below, being sure to follow all printed instructions carefully. If a section does not apply, mark it "N/A". If more room is needed to complete any of the following sections, include an attachment. This form must be signed and notarized in Part 4 by an owner or officer listed with the Department of Business Affairs & Consumer Protection. PLEASE SUBMIT COPIES OF ANY / ALL SUPPORTING DOCUMENTS AT TIME OF APPLICATION.

PART 1 INFORMATION		PROVIDE THE FOLLOWING INFORMATION ABOUT THE LEGAL ENTITY APPLYING FOR THE LICENSE(S).				
FEIN# (IRS)	42-1051659	IDOR # (IL Dept. of Revenue-- formerly IBT#)	[REDACTED]			IDOR # (IL Dept. of Revenue-- formerly IBT#)
Legal Name of Applicant Entity	Aldi Inc.	"Doing Business as Name" of establishment	Aldi			
First Name of Primary Business Contact	Terry	Middle Name	E.	Last Name	Pfortmiller	
Home Street Address of Primary Business Contact	[REDACTED]	Suite/Apt.	[REDACTED]	City	State	IL
Home Phone	[REDACTED]	Work Phone	630 879-8100	Cell Phone	()	E-mail Address
						terry.pfortmiller@aldi.us

PART 2 EXPENSES		ITEMIZE ALL EXPENSES FOR THE FUNDING OF THE BUSINESS OR OWNERSHIP CHANGE AT THIS LOCATION.	
Description of Expenses (start-up, expansion, and/or business purchase costs only; construction, renovation, stock purchase, inventory.	Amount of Expense		
Equipment	778,000	00	
Inventory	233,387	57	
Building	2,500,000	00	
Land	0	-	
license & fees	250	00	

PART 3 FINANCING IDENTIFY THE SOURCE(S) OF THE FUND USED TO PAY FOR THE EXPENSES LISTED IN PART 2

a BUSINESS SAVINGS & CHECKING Identify any funds from business accounts used to fund Expenses, Part 2

Account Number	Financial Institution	Date Opened	Signatories on Account	Current Balance	Drawn for Business
Please see attachments				\$	\$
1. Financial disclosure form				\$	\$
2. Credit information sheet				\$	\$
				\$	\$
				\$	\$

Total dollar amount drawn from business accounts: \$ 249,097,316⁰⁰

Description of Source (identify the sources) of money in the accounts listed above Contribution Frequency Contribution Amount

Description of Source (identify the sources) of money in the accounts listed above	Contribution Frequency	Contribution Amount
		\$
		\$
		\$
		\$

~~**b PERSONAL SAVINGS & CHECKING Identify any funds from personal accounts used to fund Expenses, Part 2**~~

Account Number	Financial Institution	Date Opened	Signatories on Account	Current Balance	Drawn for Business
				\$	\$
				\$	\$
				\$	\$
				\$	\$
				\$	\$

Total dollar amount drawn from business accounts: \$ 0.00

Description of Source (identify the sources) of money in the accounts listed above Contribution Frequency Contribution Amount

Description of Source (identify the sources) of money in the accounts listed above	Contribution Frequency	Contribution Amount
		\$
		\$
		\$
		\$

C LOANS FROM FINANCIAL INSTITUTIONS		Identify any loans from financial institutions used to fund Expenses, Part 2				
Account Number	Financial Institution	Loan Date	Loan Term	Co-signers of Loan	Loan Amount	
n/a					\$	
					\$	
					\$	
					\$	
					\$	
Total dollar amount loaned by financial institutions:					\$	0.00
d LOANS FROM FINANCIAL INSTITUTIONS		Identify any loans from individuals used to fund Expenses, Part 2				
Name of Individual	Loan Date	Source of Funds for Loan	% Investment	Loan Amount		
n/a				\$		
				\$		
				\$		
				\$		
				\$		
Total dollar amount loaned by individuals:				\$	0.00	
e SECURITIES		Identify any securities (stocks, bonds, CODs, etc.) sold to fund Expenses, Part 2				
Name of Security	Buy Date	Sell Date	# of Shares	Price	Ticker	Amount Invested
n/a						\$
						\$
						\$
						\$
						\$
Total dollar amount drawn from the sale of securities:					\$	0.00
f GIFTS FROM INDIVIDUALS		Identify any gifts from individuals used to fund Expenses, Part 2				
Name of Giver	Date of Gift	Source of Funds or Gift	# Investment	Amount		
n/a				\$		
				\$		
				\$		
				\$		
Total financing from gifts:				\$	0.00	

g GIFTS/GRANTS FROM INSTITUTIONS		Identify any gifts and/or grants from institutions used to fund Expenses, Part 2		
Institution	Address (Street, City State)	Contact Name and Phone	Grant Date	Amount Gifted
n/a				\$
				\$
				\$
				\$
Total money received from institutional gifts and/or grants:				\$ 0.00

h OTHER FINANCING		Identify any financing (credit cards, etc.) used to fund Expenses, Part 2		
Description of Financing	Amount Financed			
n/a	\$			
	\$			
	\$			
	\$			
Total money drawn from other financing:				\$ 0.00

= FINANCING TOTALS		Sub-total all funds (sections a-h) used to fund Part 2		
Business Accounts		\$ 0.00	Gifts from Individuals	\$ 0.00
Personal Accounts		\$ 0.00	Gifts/Grants from Institutions	\$ 0.00
Loans from Financial Institutions		\$ 0.00	Other Financing	\$ 0.00
Loans from Individuals		\$ 0.00		\$ 0.00
Securities		\$ 0.00	*Should be equal or greater than total amount of expenses listed in part 2	

PART 4 ACKNOWLEDGEMENT REVIEW THE FOLLOWING STATEMENT AND SIGN YOUR ACKNOWLEDGEMENT BELOW

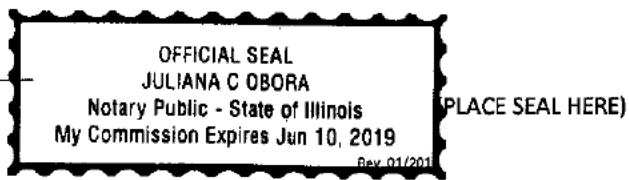
I hereby certify, under penalty of perjury, that I am authorized to execute this form and that all information I have provided on this form is complete, true, and correct. I certify that I understand that all information provided on this Financial Disclosure Form will be corroborated. The City of Aurora reserves the right to request any and all documentation it determines necessary to perform this verification. I and/or my representative will have three business days to meet such requests, and failure to do so may result in a disapproved or suspended license application. I understand and accept that any falsification or purposely holding back of this information is grounds for recalling the license(s) issued.

Signature of Applicant

NOV - 7 2017
Date

Subscribed to and sworn to before me this 7th day of November, 2017.

Notary Public in and for said County and State



PA

City of Aurora

Probationary Agreement / Management Plan

FORM REQUIRED: City of Aurora Liquor Ordinance SEC. 6-5. Application for License.

Upon approval of the application and issuance of any new liquor license, the licensee will be placed on a one-year probation period. During said probationary period, if the licensee violates any section of the liquor ordinance, as specified in a probationary agreement that includes a management plan put forth to the licensee prior to the issuance of a license. A liquor hearing will be called and the license may be revoked immediately, with no progressive discipline required.

Probationary Agreement / Management Plan

Applicant / Corporate Name Aldi Inc.

d/b/a Name Aldi

Location Address 2275 W. Galena Blvd., Aurora 60506

Planned Days / Hours of Operation

<input checked="" type="checkbox"/> SUNDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>8</u>	A.M. / <input checked="" type="radio"/> P.M.
<input checked="" type="checkbox"/> MONDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>9</u>	A.M. / <input checked="" type="radio"/> P.M.
<input checked="" type="checkbox"/> TUESDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>9</u>	A.M. / <input checked="" type="radio"/> P.M.
<input checked="" type="checkbox"/> WEDNESDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>9</u>	A.M. / <input checked="" type="radio"/> P.M.
<input checked="" type="checkbox"/> THURSDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>9</u>	A.M. / <input checked="" type="radio"/> P.M.
<input checked="" type="checkbox"/> FRIDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>9</u>	A.M. / <input checked="" type="radio"/> P.M.
<input checked="" type="checkbox"/> SATURDAY	FROM	<u>9</u>	<input checked="" type="radio"/> A.M. / <input type="radio"/> P.M.	TO	<u>9</u>	A.M. / <input checked="" type="radio"/> P.M.

Entertainment

Entertainment will be held on the premises. Yes No

If yes, what type(s) of entertainment? (Please list) Bands/Solo DJ Televised Sports

Other n/a

Please specify the days and times that entertainment is planned.

<input type="checkbox"/> SUNDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.
<input type="checkbox"/> MONDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.
<input type="checkbox"/> TUESDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.
<input type="checkbox"/> WEDNESDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.
<input type="checkbox"/> THURSDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.
<input type="checkbox"/> FRIDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.
<input type="checkbox"/> SATURDAY	FROM		A.M. / P.M.	TO		A.M. / P.M.

Affidavit

By signing this Probationary Agreement, the undersigned affirms that he/she understands if the business is found to be in violation of any section of the liquor ordinance within the first year of operation, a Liquor Hearing may be held and the Liquor License issued may be revoked without progressive discipline being instituted.

[Redacted Signature]

President / Owner

NOV - 7 2017

Date

[Redacted Signature]

Secretary / Owner

NOV - 7 2017

Date

Receipt

I have received a copy of the Probationary Agreement / Management Plan that has been signed by the President and Secretary / Owner(s) of the business. One copy of the agreement will be placed in the Licensee's file in the City Clerk's Office.

[Redacted Signature]

President / Owner

NOV - 7 2017

Date

[Redacted Signature]

Secretary / Owner

NOV - 7 2017

Date

[Handwritten Signature]

City Clerk's Office

Date

Affidavit

I, authorized agent(s) for the applicant, first being duly sworn, under oath, depose and state that the information contained in the foregoing application is true and correct.

I also understand that any untrue, inconsistent, incorrect or misleading information contained herein shall be cause for the refusal to grant, non-renewal or the revocation of any license granted pursuant to this application.

I further state that I have read and understand all applicable laws, including, without limitation, statutory provisions set forth in the Illinois Liquor Control Act of 1934, 235 ILCX 5/1-1, et. seq. and Chapter 6 of the City of Aurora's Code of Ordinances and fully understand my obligations under said applicable local laws.

I swear and affirm not to violate any of the relevant laws of the United States, the State of Illinois or any of the ordinances of the City of Aurora in the conduct of the place of business described herein. I understand and agree that if I violate any local, state or federal laws regarding alcohol sales, consumption or possession, while I have a City of Aurora Liquor License, said license may be suspended or revoked.

I further authorize the City of Aurora or any of its designated agents to contact any agency or individual named or referred to in this Application for the purpose of verifying and/or clarifying any information I have provided herein.

I further certify that if any of the foregoing information changes during the course of the current license year, including, without limitation, changes to the status of the State liquor license, changes in the corporate stockholder shares or corporate officers, I will notify the City of Aurora, in writing, within seven (7) days of such change.

Corporate/LLC Signatures

Individual/Partnership Signatures

President

[Redacted Signature]

Signature

Secretary

[Redacted Signature]

Signature

Treasurer

Signature

Signed and sworn to before me this 7th day of

November

2017

Notary Public

[Redacted Notary Signature]

